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Review

# Recent Advances in Crop Disease Detection Using UAV and Deep Learning Techniques

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**Abstract:** Because of the recent advances in drones or Unmanned Aerial Vehicle (UAV) platforms, sensors and software, UAVs have gained popularity among precision agriculture researchers and stakeholders for estimating traits such as crop yield and diseases. Early detection of crop disease is essential to prevent possible losses on crop yield and ultimately increasing the benefits. However, accurate estimation of crop disease requires modern data analysis techniques such as machine learning and deep learning. This work aims to review the actual progress in crop disease detection, with an emphasis on machine learning and deep learning techniques using UAV-based remote sensing. First, we present the importance of different sensors and image-processing techniques for improving crop disease estimation with UAV imagery. Second, we propose a taxonomy to accumulate and categorize the existing works on crop disease detection with UAV imagery. Third, we analyze and summarize the performance of various machine learning and deep learning methods for crop disease detection. Finally, we underscore the challenges, opportunities and research directions of UAV-based remote sensing for crop disease detection.

**Keywords:** UAV; crop disease; drone; deep learning; remote sensing; detection; classification; segmentation



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## 1. Introduction

Crops are subjected to various stresses from their environment, which decreases their productivity. Stress occurs in two forms: abiotic and biotic. Abiotic stress is due to environmental factors, including drought, floods, extreme temperatures, and so on, whereas biotic stress is caused by various pests and pathogens such as fungi, bacteria, and nematodes [1].

The traditional farm practice relies on the manual scouting of crops for visual identification of any crop disease by farm staff, with backup advice from a crop disease specialist or plant pathologist [2]. Furthermore, the visual observation of symptomatology, microscopy, and isolation of pathogen culture are used for crop disease diagnosis, which is quite tedious, time-consuming and often cumbersome [3,4]. In this scenario, the development of Unmanned Aerial Vehicles (UAVs), the Internet of Things (IoT) and advanced artificial intelligence techniques is creating promising tools for crop disease detection. These tools might not require highly sophisticated and complex procedures and are less time-consuming compared to other techniques [5]. Since the early detection of pests and crop diseases allows sufficient time to mitigate the possible disease epidemic and yield losses for the farmers and other stakeholders, precision agriculture researchers are constantly looking for innovative and cost-effective solutions which would address the crop disease detection problem in an easy and effective way [6–8]. A multidisciplinary approach which combines remote sensing, drones and artificial intelligence (AI) techniques might be an alternative for such a solution [9].

Remote sensing is an alternative approach for fast and unbiased disease scouting and measurement [6]. Here, the common information carrier is electromagnetic (EM) radiation. The range of all types of EM radiation is known as the EM spectrum, which consists of a range of spectra from shorter wavelengths (e.g., gamma-rays) to longer wavelengths (e.g., radio waves). Various sensors such as RGB (or visible), multispectral and hyperspectral [10,11] sensors are used to capture the different portions of the EM spectrum. These sensors have different sensing capabilities and costs, where the cost and sensing ability have an inverse trade-off [12]. Recently, UAV-based remote sensing has been explored by researchers to tackle various precision agriculture (PA) tasks such as disease detection [7], plant health monitoring [13], and yield estimations [14]. UAVs became a more common choice among PA researchers because of their flexibility in revisiting the field and their ability to capture high-resolution imagery at much closer distances to the plant in comparison to other airborne imagery [15]. With such high-resolution images, automatic disease detection for various crops, including yellow rust detection in wheat [16], peanut leaf wilt estimation [8], and tomato spot wilt disease estimation [17], have been reported in the literature.

Many studies on plant phenotyping have used the red-green-blue (RGB) [8], multi-spectral [18] and hyperspectral sensors [16] embedded in UAVs. Vegetation indices (VIs) are derived to measure the crop traits such as canopy coverage, biomass and height, thereby estimating the crop yield and stress. A few studies have reported the successful application of disease estimation using UAV-based remote sensing [8,16,17]. In these studies, for plot-level data extraction, either a mean value of the vegetation index or the number of pixels below a certain threshold in a given plot was used to estimate the disease score. For instance, Patrick et al. [17] examined the use of multispectral image-derived vegetation indices such as Normalized Difference Red Edge (NDRE) and Normalized Difference Vegetation Index (NDVI) for tomato spot wilt disease estimation in peanuts. They extracted the vegetation index from the multispectral images acquired with a Micasense Red-edge camera. They established a threshold to distinguish the healthy and disease pixel value in these vegetation index (VI) images. Then they used the number of pixels below or above the threshold as a predictor and disease percentage as a target variable for linear regression analysis. Here, the optimal threshold for each vegetation index was selected manually, which makes this approach less ideal for automation. Additionally, some vegetation indices might not have a clear threshold for distinguishing healthy and diseased plot, which further impedes the application of this approach to those vegetation indices.

Given the recent development of UAV platforms and sensors, the possibility of cheaper and more frequent image acquisition has emerged rapidly, which might support more accurate estimates of crop diseases using predictive approaches such as conventional machine learning (ML) and deep learning (DL) methods [19]. For instance, Abdulridha et al. [20] implemented a hyperspectral-based remote sensing technique for tomato disease detection using vegetation indices (VIs) and machine learning methods such as artificial neural networks (ANN). Similarly, a wheat yellow rust detection method using multispectral UAV imagery and machine learning was proposed in [21]. For the machine learning method, a random forest (RF) classifier was trained at pixel level, where image pixels were classified into healthy, moderate, and severely diseased groups with high accuracy of 89.3% [21].

Initially, Barbedo et al. [22] synthesized various works on the use of UAVs and sensors for monitoring and assessing plant stresses. The study critically analyzed 100 published articles on crop stress monitoring using UAVs and listed out the challenges that have been already addressed by the existing works as well as recommendations for future researchers working on crop protection. However, the review did not discuss advanced data-driven methods such as ML and DL for crop protection using UAVs comprehensively and systematically. Furthermore, Neupane et al. [23] surveyed the various sensors and methods for the automatic monitoring and identification of crop diseases using UAV technology. It was extensively focused on the discussion of various types of UAVs and cameras such as RGB, multispectral and hyperspectral, thereby highlighting the advantages of employing sensors

for accurate and effective crop disease detection to the growers. However, it still needs to be complemented by elaborating the crucial ML and DL methods used for crop disease detection, along with their evaluations. A short survey on the applications of UAVs and deep learning for crop disease detection was reported by Bouguettaya et al. [24]. Their work mainly focused on early crop disease identification utilizing UAV images and DL methods. The survey was brief and did not cover competitive methods such as conventional machine learning and vegetation index-based methods. Furthermore, it did not cover the taxonomy of crop disease detection along with the performance comparison of various crop disease estimation methods using UAV technology. In their recent work, Bouguettaya et al. [25] surveyed various deep learning-based methods for crop disease detection using UAV images. Their survey compared and contrasted the performance of various deep learning methods for crop disease detection. However, they did not discuss the overall taxonomy of crop disease detection using UAV imagery or a meta-analysis of the literature. The summary of highly relevant existing survey works is presented in Table 1 including the focus area, main features and limitations of each study.

**Table 1.** Summary of existing survey works on precision agriculture and crop disease estimation using UAV imagery.

Ref.	Focused Area	Features and Highlights	Limitations and Gaps
[22]	Plant stress monitoring	<ul style="list-style-type: none"> <li>• UAVs and sensors.</li> <li>• Listed the UAV challenges and recommendations for PA.</li> </ul>	<ul style="list-style-type: none"> <li>• Conventional ML methods were not covered.</li> <li>• DL methods were not covered.</li> </ul>
[23]	Crop disease detection with UAVs	<ul style="list-style-type: none"> <li>• Various UAV types and sensors were covered.</li> <li>• Various data processing methods were included.</li> <li>• Deep learning methods were briefly discussed.</li> </ul>	<ul style="list-style-type: none"> <li>• ML and DL methods were not the main focus of the survey.</li> <li>• Performance comparison of Conventional ML and DL was not covered.</li> </ul>
[24]	Early crop disease identification	<ul style="list-style-type: none"> <li>• An overview of UAVs and PA.</li> <li>• Various DL methods were covered.</li> </ul>	<ul style="list-style-type: none"> <li>• No taxonomy of crop disease detection was discussed.</li> <li>• The survey was brief and did not cover ML and other methods.</li> </ul>
[26]	UAVs for plant and crop disease detection	<ul style="list-style-type: none"> <li>• Different UAV and remote sensing techniques.</li> <li>• Effectiveness of DL for crop disease detection.</li> <li>• Challenges and limitations of UAVs for crop disease identification.</li> </ul>	<ul style="list-style-type: none"> <li>• No taxonomy for crop disease was covered.</li> <li>• Comparison of the performance of different ML and DL algorithms was not covered.</li> <li>• Meta-analysis of literature was not covered.</li> </ul>
[9]	UAVs for precision agriculture	<ul style="list-style-type: none"> <li>• An exhaustive systematic survey was reported.</li> <li>• An integrated PA framework was presented</li> <li>• AI algorithms for PA were covered.</li> </ul>	<ul style="list-style-type: none"> <li>• Not specifically focused on crop diseases.</li> <li>• ML and DL methods for crop disease detection were not covered exclusively.</li> </ul>
[27]	Aerial HS imaging for crop disease	<ul style="list-style-type: none"> <li>• Background on hyperspectral sensors.</li> <li>• General pipeline for HS-based crop disease detection.</li> <li>• DL methods for crop disease detection.</li> </ul>	<ul style="list-style-type: none"> <li>• ML methods are not covered.</li> <li>• Taxonomy for crop diseases was not discussed.</li> <li>• Recent advances in DL methods are not covered.</li> </ul>
[28]	UAV thermal imagery for PA	<ul style="list-style-type: none"> <li>• General focus was on overall PA tasks.</li> <li>• Application of thermal imagery was covered.</li> </ul>	<ul style="list-style-type: none"> <li>• ML and DL methods were not covered.</li> <li>• No taxonomy was devised.</li> </ul>

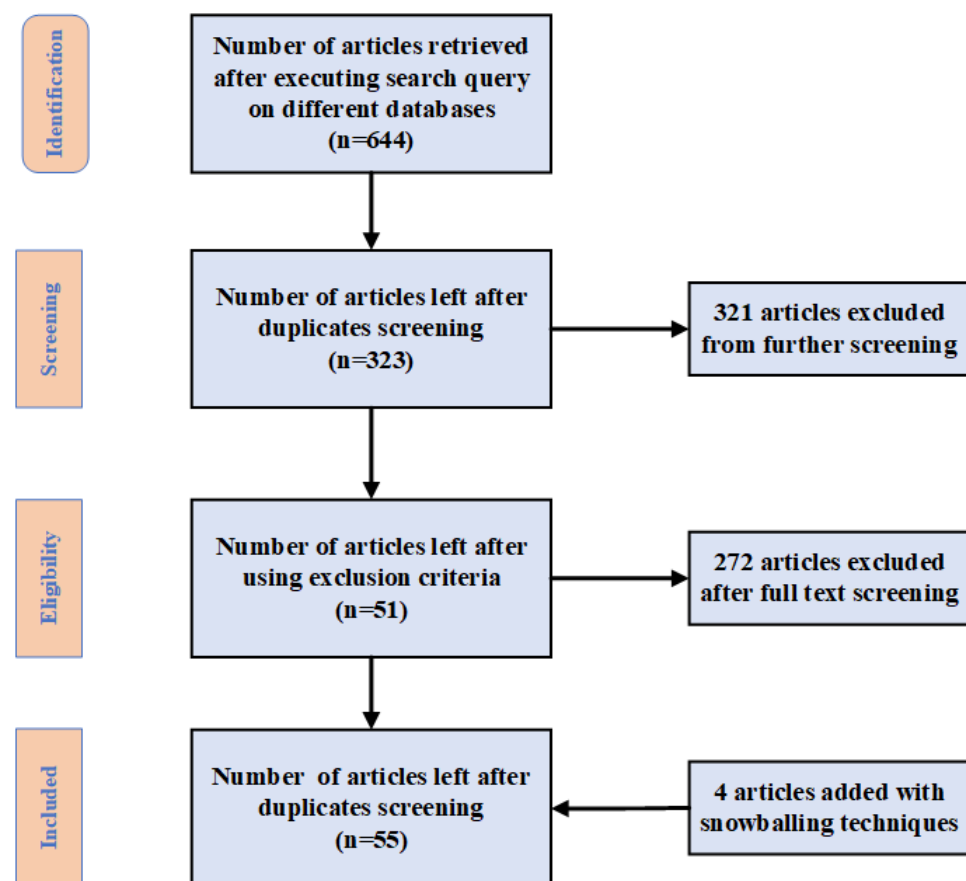
In this survey, we aim to fill the aforementioned gaps by providing an overall taxonomy of crop disease estimation using UAV imagery. In addition, the main contributions of our work are as follows:

- (i) We present the importance of different UAV platforms and sensors for improving crop disease detection.
- (ii) We provide a taxonomy for crop disease estimation and explain the general steps involved in the working pipelines with UAV-based remote sensing.
- (iii) We analyze and summarize the performance of various conventional ML and DL methods for crop disease detection using UAV imagery.
- (iv) We report a meta-analysis of the existing literature to gain the current research trends and directions.
- (v) We underscore the challenges, opportunities and research avenues of UVA-based remote sensing for crop disease detection.

The paper is organized as follows. The systematic approach used to find the research publications included in this survey is discussed in Section 2. Some brief background information on various related topics such as remote sensing, vegetation indices and ML/DL that helps understand the survey better is provided in Section 3. The main taxonomy for crop disease detection using UAV imagery is elaborated in Section 4. The meta-analysis and synthesis of results from the survey are reported in Section 5. Finally, Section 6 concludes our paper with future recommendations.

## 2. The Approach for the Survey

Since we aimed to highlight the existing research gap and potential avenues of machine learning and deep learning methods for crop disease detection using UAV-based remote sensing, we follow the standard approach for systematic literature reviews to collect the research articles and integrated the information based on the research questions that we aimed to explore in this study. This systematic literature review follows the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) guidelines [29] and utilizes the systematic procedure as shown in Figure 1 to retrieve the relevant publications.



**Figure 1.** A step-wise procedure used to retrieve the articles for systematic review.

We consider the following research questions as a guideline in this survey.

**RQ1:** What are the most popular and successful UAV platforms and sensors for crop disease detection? This question helps us identify the most effective sensors among the multiple sensors available, such as RGB, multispectral and hyperspectral sensors, for deployment on various types of UAVs for crop disease detection.

**RQ2:** What different types of crop diseases were investigated using UAV-based remote sensing along with data-driven methods such as conventional ML and DL? Since various crop diseases are caused by different agents such as fungi, bacteria, insect pests and viruses, each showing distinct symptoms over the canopy area such as the crop leaf and stem, it is

essential to analyze what kind of crops and crop diseases were more successfully detected using data-driven methods such as conventional ML and DL. This question will serve this purpose.

**RQ3:** What are the most successful and accurate data-driven methods for crop disease detection using UAVs? This question helps us to compare the performance of various data-driven methods such as machine learning and deep learning for crop disease detection using UAVs. This is the major research question that makes this work more impactful.

**RQ4:** What are the main challenges and opportunities in using UVA-based remote sensing for crop disease detection? This question helps us to explore the limitations and challenges of existing methods for crop disease detection, thereby suggesting possible avenues of research on UAV-based remote sensing for crop disease estimation.

Based on the formulated research questions, we first designed an article search strategy that is able to narrow down the search space from the major concepts such as “machine learning” and “deep learning” to “crop disease” and “UAV” and take us to the most relevant literature. Using such phrases, we designed the following query string: (“Unmanned aerial vehicle” OR “UAV”) AND (“crop disease”) AND (“Machine Learning” OR “Deep Learning”). This sting was used to perform a search on four popular databases: IEEE Explorer, Scopus, Google Scholar, and MDPI. Initially, the search was limited to the title and abstract of each article from 2012 to 2022 (as machine learning and deep learning extensively evolved after 2012 [30]).

The article selection process includes the following steps. Firstly, duplicates and non-peer-reviewed articles (e.g., pre-prints) received from multiple sources were removed. Secondly, irrelevant articles were removed after a careful screening of article titles, abstracts, keywords, and full texts based on the following exclusion criteria:

- (i) Articles that are written in a language other than the English language;
- (ii) Publications that are about agriculture but do not address crop disease estimation;
- (iii) Publications that are related to crop disease but do not use UAV-based remote sensing.

After applying the procedure depicted in Figure 1, we finally ended up with 55 publications that are considered for systematic analysis and synthesis to answer the research questions (RQ1 to RQ4).

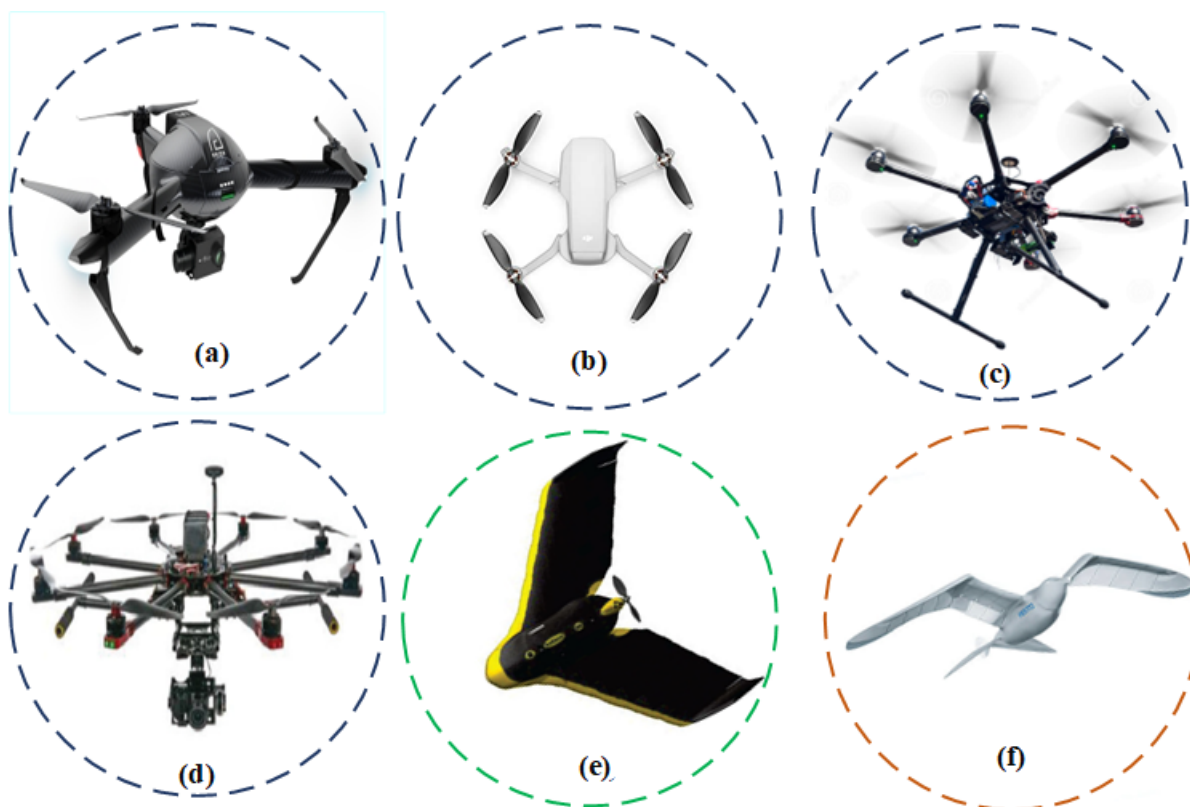
### 3. Background

#### 3.1. Remote Sensing and UAVs

Remote sensing is a non-destructive way of detecting and monitoring the physical characteristics of an object by acquiring information with the reflected or emitted energy from targets at a distance [31]. The basic pipeline of active remote sensing techniques consists of interaction with the target, recording the reflected energy from the target, transmission, reception and analysis of images. Remote sensing techniques are widely used for smart farm management or precision agriculture (PA). Since PA requires the temporal and spatial information of the agricultural field to make more informed decisions, advanced technologies such as field-based sensors, airborne sensors and networking are essential. Various PA tasks such as precise pesticide applications [32], yield estimation [33] and irrigation management [34,35] have been effectively managed using various sensing technologies. Basically, the remote sensing techniques employed for PA tasks can be grouped into three categories: field-based sensors, satellite or aircraft-based sensors and drone-based sensors.

The field-based sensors [36] have the limitation of acquiring field information at scale as they need to move from place to place, which adds extra labor and cost [37]. Furthermore, satellite- or aircraft-based spectral images are costly to acquire and may not be available as and when required [38]. Alternatively, unmanned aerial vehicles (UAVs), also known as drones, which have recently been introduced to PA, can fly very close to crops and provide very high spatial resolution field images. In addition, UAVs offer flexibility by allowing users to revisit fields at any time, as long as weather conditions permit, resulting in high temporal resolution imagery [39]. The UAVs come in a variety of designs based on their

wings, size or weight and altitude. Based on the wings, rotatory wing and fixed-wing UAVs are widely used in precision agriculture. Other UAVs such as flapping, hybrid and para-foil wings are also employed by a few existing works. Figure 2 reports the popular UAVs used in precision agriculture [12]. Since a fixed-wing UAV can fly at high speed and cover a large area with more payloads, it is suitable for large-scale surveys. However, they require a large space for a runway, whereas rotary-wing UAVs can take off like helicopters and land vertically. Therefore, rotary wing UAVs are more popular in precision agriculture for their easy operability and flexibility [40].



**Figure 2.** The popular UAVs used in precision agriculture: (a) rotary wing (tri-copter), (b) rotary wing (quadcopter), (c) rotary wing (hexacopter) (d), rotary wing (octocopter), (e) fixed-wing (eBee™) and (f) flapping wing (SmartBird) [41].

In UAV-based remote sensing, various sensors are used to capture the light spectrum reflected by Earth objects such as water, soil and green plants. The amount of reflectance from such objects is differentiable and can be useful while monitoring crop growth [42]. Differentiable information can be derived as a result of pixel-level information calculated with various algebraic operations on multiple bands of spectral images, which is widely known as vegetation index (VI) images. Based on the types of sensors used to capture the light spectrum, the VIs can be grouped into (a) RGB-based VIs, (b) multispectral VIs and (c) hyperspectral VIs [43]. Vegetation indices that are used in plant disease monitoring are mostly based on the red and near-infrared bands (multispectral and hyperspectral sensors). However, RGB-based VIs are also used in conjunction with multispectral or hyperspectral VIs. As an illustration, a list of widely used vegetation indices along their derivation formulas are reported in Table 2.

**Table 2.** An illustrative list of vegetation indices (VI) with their derivation formulas. Note that “R”, “G”, “B”, “NIR” and “RE” denote the red, green, blue, near-infra red and red edge spectral bands, respectively.

Ref.	Vegetation Index	Formula
[44]	Normalized difference VI (NDVI)	$\frac{NIR - R}{(NIR + R)}$
[45]	Normalized difference red edge VI (NDRE)	$\frac{(NIR - RE)}{(NIR + RE)}$
[46]	Green VI (GVI)	$\frac{(G - R)}{(G + R)}$
[47]	Difference VI (DVI)	$NIR - R$
[48]	Excess Green (ExG) VI	$2 * G - R - B$
[49]	Green normalized difference VI (GNDVI)	$\frac{(NIR - G)}{(NIR + G)}$
[49]	Soil adjusted VI (SAVI)	$\frac{(1.5(NIR - R))}{(NIR + R + 0.5)}$
[17]	Simple ratio (SR)	$\frac{NIR}{RE}$
[16]	Plant senescence reflectance index (PSRI)	$\frac{(R - G)}{(RE)}$
[50]	Chlorophyll Index (CI)	$\frac{NIR}{G} - 1$
[51]	Green leaf index (GLI)	$\frac{(2 * G - R - B)}{2 * G + R + B}$

### 3.2. Machine Learning

In the last few years, there has been tremendous progress in machine learning and data analytics. The success of machine learning techniques not only lies in the traditional data-intensive domains such as stock market prediction [52], computer vision [19] and text mining [53] but also in other domains such as biomedical image analysis [54] and precision agriculture [55]. Their success in these domains is attributed to their capability of finding decisive insights from a large amount of data. Due to advancements in sensors, GPS technology and the Internet of Things, agricultural farms are now integrated with such technologies and produce a large amount of agriculture data, thereby demanding agricultural data analysis at a large scale. Machine learning methods can be explored for such a large-scale data analysis to make more accurate decisions concerning farm management activities, such as yield prediction, disease detection, crop monitoring, irrigation management and so on [40].

Machine learning methods firstly learn the patterns or rules from the training data and apply them to the test data. The training process can be achieved in two ways: supervised learning and unsupervised learning. Most works [56–59] used supervised learning such as support vector machine (SVM), decision tree (DT), random forest (RF), Naive Bayes (NB) and multi-layer perceptron (MLP) neural networks. Notable, supervised machine learning requires the manual labeling of diseased areas on the UAV images to train the model [60]. Once the model is trained with the given data, it can be deployed on previously unseen crop field images to predict diseased crops [57].

An unsupervised ML model works on unlabeled data and can learn the associated pattern from the data by itself [61]. For instance, the K-means clustering technique was used for cotton rot root disease detection by Wang et al. [62]. Here, they grouped the image pixels based on their similarity, measured using the K-means strategy, thereby being able to cluster the healthy pixels vs. diseased pixels into two distinct clusters.

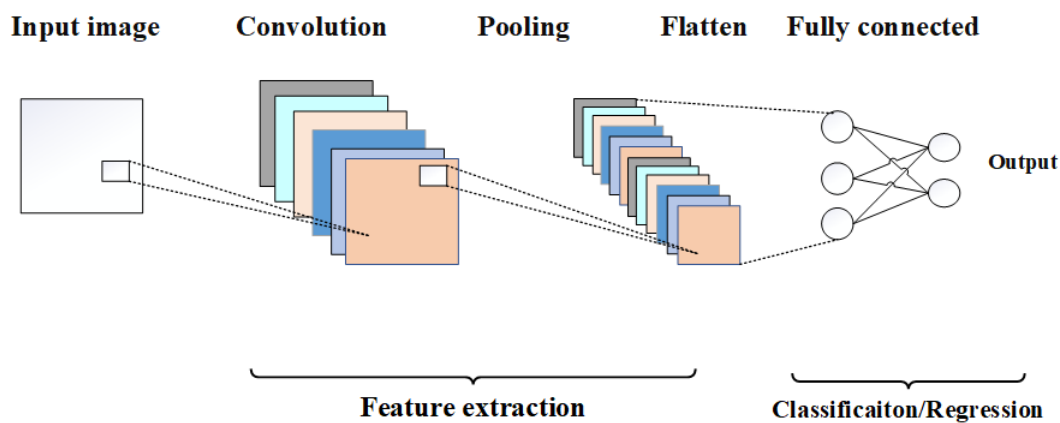
### 3.3. Deep Learning

Deep learning (DL) is one of the fastest growing technologies in the last decade and has caused a paradigm shift in data analysis and pattern recognition in various domains, including computer vision [19], satellite image analysis [63] and precision agriculture [55]. Deep learning is an extension of neural networks in both width and depth. The success of deep learning mainly lies in the stacking of layers one over the other to learn the hierarchical object features. Among the deep learning architecture, convolutional neural networks (CNN), as shown in Figure 3, are the most widely used and most popular



architectures for computer vision tasks such as image classification and recognition [64]. CNNs capture the higher-order semantic features from their intermediate layers using different operations such as convolution, pooling, activation and so on. These are the basic operation involved in different CNNs, such as VGG [65], DenseNet [66], ResNet [67] and GoogleNet [68]. As a result, CNNs have produced groundbreaking performances in several areas such as health informatics [54,69], remote sensing and drones [40,70] and natural language processing [71].

Recently, there is an immense application of deep learning to address precision agriculture tasks. For instance, Zhang et al. [72] proposed a deep learning-based framework for yellow rust disease detection on wheat from UAV aerial images. They used existing deep learning architectures, such as Inception-v3 [73], ResNet50 [74], VGG [65] and Xception [75], for yellow rust classification. They achieved an accuracy of 99.04% when they trained and tested these models on the RGB images acquired by flying the drone at an altitude of 2 m. This shows that highly accurate disease estimation is possible with such advanced deep-learning models. However, they require high-resolution imagery that should be taken at the lowest altitude, which may not be possible due to legal and other constraints such as battery capacity. Here, it should be noted that the flight altitude is inversely proportional to the area covered by the UAV's trail.



**Figure 3.** An illustration of a typical convolutional neural network (CNN).

### 3.4. Evaluation Matrices

In this section, a brief discussion of various evaluation metrics used by existing works to report the performance of crop disease detection methods is provided, as it will help shed light while comparing the different models in the later sections of this paper. The coefficient of determination ( $R^2$ ) (Equation (1)) is widely used to evaluate the disease estimation methods when the dependent variable is continuous (e.g., represents the disease score or percentage of diseases):

$$R^2 = 1 - \frac{\sum_{i=1}^n (y_i - z_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad (1)$$

The precision (Equation (2)), recall (Equation (3)), f-score (Equation (4)) and accuracy (Equation (5)) are mostly used to evaluate the disease estimation methods when the dependent variable is discrete (e.g., represent the class or category):

$$P = \frac{T_p}{T_p + F_p} \quad (2)$$

$$R = \frac{T_p}{T_p + F_n} \quad (3)$$

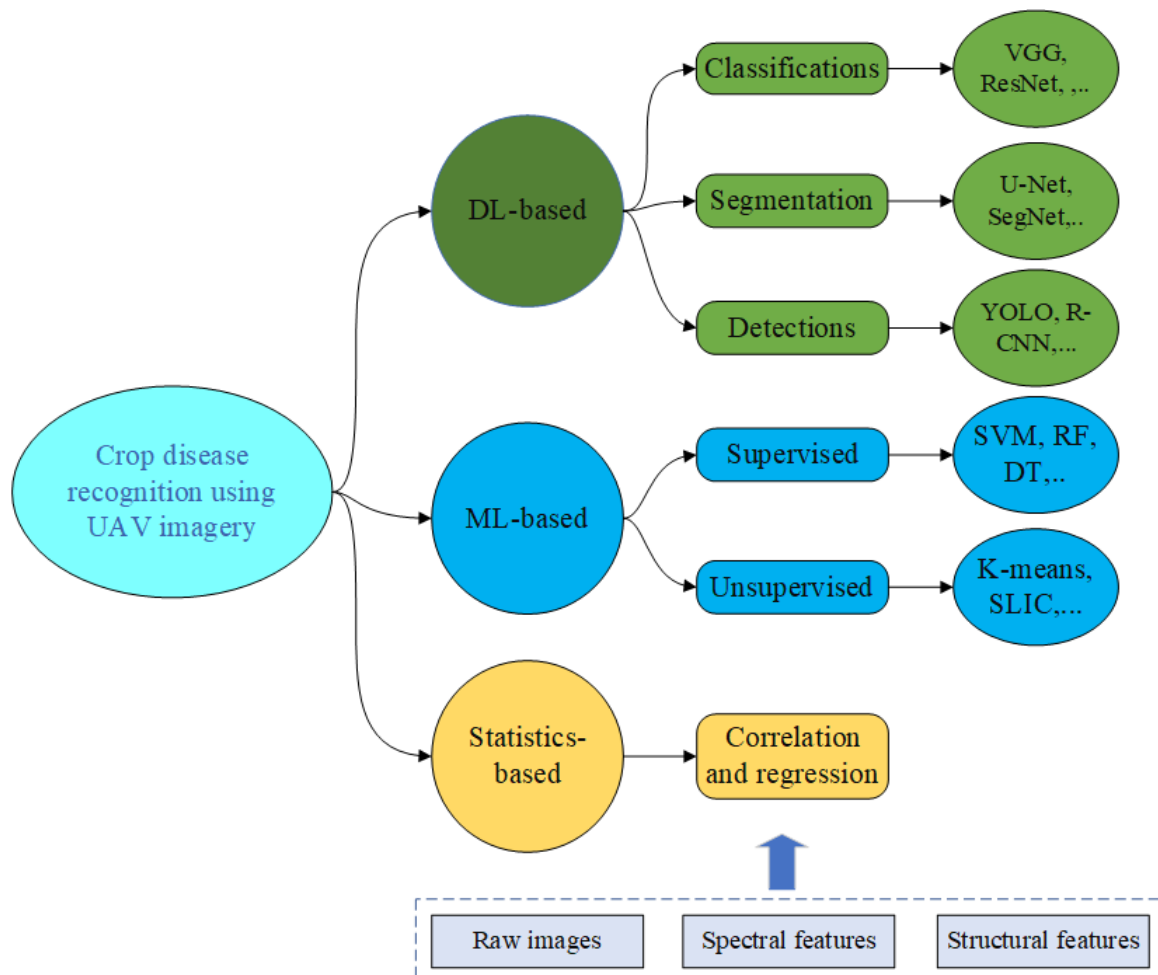
$$F = 2 \times \frac{P \times R}{P + R} \quad (4)$$

$$A = \frac{T_p + T_n}{T_p + T_n + F_p + F_n} \quad (5)$$

where  $T_p$ ,  $T_n$ ,  $F_p$  and  $F_n$  represent true positive, true negative, false positive and false negative, respectively. In addition,  $P$ ,  $R$ ,  $F$  and  $A$  denote precision, recall, f-score and accuracy.

#### 4. Taxonomy of Crop Disease Assessment Using UAV Imagery

In this section, we devise a taxonomy to group the existing work on the basis of methods used for crop disease recognition from UAV imagery. While conducting this survey, we found that there are mainly three approaches used to address crop disease estimation using UAVs (Figure 4) First, statistics-based methods use correlation and regression analyses. They establish the linear relationship between disease and the spectral information acquired from UAV imagery. Here, the various vegetation indices (VIs) were used for extracting crop-related traits. Second, conventional ML-based methods which are based on traditional supervised or unsupervised machine learning methods use the vegetation indices as input features while building the disease estimation model. Finally, the deep learning methods use the raw images in addition to other features to train the model in an end-to-end fashion for disease recognition with UAV.



**Figure 4.** A taxonomy of crop disease assessment using UAV-based remote sensing. Note that the elements included in the dotted box represents the image features used in one and/or all branches.

##### 4.1. Statistics-Based Methods

Statistics (ST)-based methods for crop disease estimation utilize the disease score as the target variable and crop-related traits derived from UAV imagery as independent variables. The correlation between these independent variables and the disease score determines

the strength of a linear relationship. The overall pipeline of such methods involves three steps: UAV image pre-processing, vegetation index generation and statistical analysis. Image pre-processing is essential to prepare spatial data products such as reflectance maps and a digital surface model which can be used to extract the crop-related traits at the plot or field level. Once the reflectance map is generated, the individual spectral band from such a reflectance map can be employed to generate the various vegetation indices. Most existing works used these vegetation indices as independent variables and performed the correlation and regression analysis for crop disease estimation.

Vegetation indices (VIs) have been employed for the remote sensing of vegetation, such as to detect canopy cover, growth and vigor, using various remote sensing platforms such as satellites and UAVs [36]. The canopy information obtained from VIs is effective to measure crop traits such as yield, leaf area index and water stress [76]. Several researchers have utilized the different vegetation indices extracted with UAV-based sensing platforms for crop disease estimation [56,57]. However, the basic pipeline for disease estimation using VIs includes the extraction of vegetation indices from crop field images and performing the correlation and regression analysis considering VIs as the independent variables and disease score as a dependent variable [8,16,17]. For VI extraction, the crop field is first divided into individual plots, and then the plot-level vegetation extraction is carried out using the mean value of the vegetation index in the given plot. Some works also employed the threshold-based technique for such the extraction of such data, as demonstrated by Patrick et al. [17]. They first calculated multiple vegetation indices, such as NDRE, NDVI and DVI. Then, a threshold was determined to count the number of healthy pixels vs. diseased pixels in the given threshold. Finally, the count of healthy pixels or diseased pixels was used as an independent variable, whereas the disease score was used as the dependent or target variable. However, it might be difficult to clearly distinguish between healthy and diseased plots with a particular threshold because some vegetation indices might not have a clear threshold for healthy and diseased plot segmentation. In such a situation, the alternative may be to use the coefficient of variation or the measurement index, as proposed by Shahi et al. [77]

As reported in Table 3, peanut wilt disease detection using NDRE, NRRE, GDVI and other vegetation indices derived from UAV images has the highest correlation of 0.73 with a manual disease score. In their study, the NDRE performs the best when calculated with UAV images taken after 120 days from a seedling. Chang et al. [50] implemented the UAV-based crop disease estimation framework for citrus greening diseases. They experimented with four VIs, namely, the normalized difference VI (NDVI), the modified soil-adjusted VI (MSAVI), the normalized difference RedEdge index (NDRE) and the chlorophyll index (CI). Using a two-sample *t*-test, they showed that the four VIs have ability to differentiate the healthy and disease groups at the 5% significance level. Sugiura et al. [78] utilized a technique different but similar to VI for potato blight monitoring using UAVs. They derived the HSV color space images from RGB images acquired with UAVs and attempted to distinguish between the diseased and healthy crops with a high coefficient of determination ( $R^2$ ) of 0.73. Ye et al. [79] attempted to estimate Fusarium wilt in bananas. Here, they used three VIs, namely, CI, NDVI and NDRE, and achieved an overall accuracy of 91.7%, which is the highest accuracy. Hyperspectral (HS) vegetation indices were explored by Guo et al. [16] for wheat yellow rust monitoring. They added extra features such as texture along with VI while analyzing the yellow rust using partial least square regression (PLSR). Similarly, Bhandari et al. [51] assessed foliar disease in wheat with vegetation indices derived from RGB sensors. They calculated three VIs and found their correlation with a coefficient of infection (CI) by foliar disease on wheat and achieved the highest  $R^2$  of 0.79 using the GLI index. Furthermore, wheat leaf rust and stripe rust were estimated using RGB-based VIs such as SRI and LRI. They achieved a correlation coefficient ( $r$ ) of 0.92 ( $R^2 = 0.81$ ) and 0.96 for white leaf rust and white stripe rust severity, respectively. This study demonstrated the possibility of using RGB sensors for crop disease estimation using UAVs.

While comparing the existing studies based on the sensors they employed, the majority (6 out of 11) of the works utilized multispectral sensors followed by RGB (3 out of 11) and hyperspectral sensors (2 out of 11). The reason for this might be the costs and spectral resolutions associated with these sensors. For instance, hyperspectral sensors are costly but cover more than hundreds of spectral bands and hence can capture more useful canopy information. However, multispectral sensors are in the middle of hyperspectral and RGB sensors in terms of their cost and spectral resolution. Multispectral sensors can cover a wider range of the spectrum (including outside of visible range) compared to RGB sensors but at a higher cost, whereas RGB sensors are of special interest because of their low cost and wide availability. However, they only capture the spectral information in the visible range and hence might miss important crop disease information. Besides these sensors, a few works [80,81] investigated the potential of thermal sensors to estimate abiotic stress on the crop.

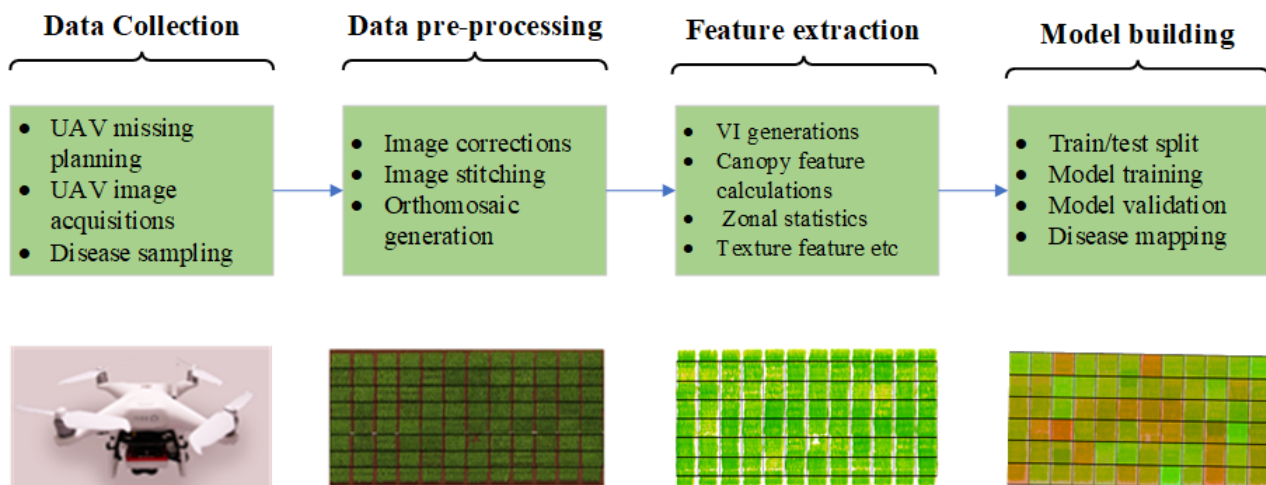
**Table 3.** Summary of ST-based methods for crop disease estimation using UAV imagery. The abbreviations used for diseases are WD (wilt disease), FD (foliar disease), GD (greening disease), LB (late blight), FW (Fusarium wilt), WLD (white leaf disease), LR (leaf rust), SR (stripe rust), VW (Verticillium wilt) and YR (yellow rust). Note that the other notations used are OA (overall accuracy),  $R^2$  (coefficient of determination), MS (multispectral sensor), HS (hyperspectral sensor) and RGB (red, green and blue).

Ref.	Crop	Disease	Sensors	Vis	Eval. Metrics	Remarks
[80]	Olive	VW	Thermal and HS	PRI, CWSI	$R^2 = 0.83$	The early detection of disease was achieved using CWSI index with strong correlation
[82]	Potato	LB	MS	NDVI	-	NDVI map was used to visually map the regions affected by the disease
[83]	Grape	Leaf stripe	MS	NDVI	-	Statistical analysis was performed to distinguish the healthy vine vs. diseased vine
[17]	Peanuts	WD	MS	NDRE, NRRE, GDVI, GNDVI, etc.	$R^2 = 0.82$	The NDRE was best suited for wilt disease estimation, with high correlation between manual disease score and UAV images taken at 120 days from seed
[51]	Wheat	FD	RGB	NDI, GI and GLI	$R^2 = 0.79$	They calculated three VIs and found their correlation with a coefficient of infection (CI) by foliar disease on wheat and achieved the highest $R^2$ with the GLI index
[50]	Citrus	GD	MS	NDVI, MSAVI, NDRE and CI	$R^2 = 0.90$	Using two-sample <i>t</i> -test, it was shown that the four VIs have the ability to differentiate the healthy and diseased citrus group at a 5% significance level
[78]	Potato	LB	RGB	HSV	$R^2 = 0.73$	They utilized the HSV color space to distinguish the diseased and healthy crops
[79]	Banana	FW	MS	CI, NDVI, NDRE	OA = 0.91	The VIs were used in conjunction with binary logistic regression to classify the pixels into either diseased or healthy classes
[45]	Sugarcane	WLD	MS	NDRE, NDVI, GNDVI, RVI, OSAVI, etc.	-	Twelve vegetation indices were calculated and used to distinguish the healthy vs. diseased leaf area. The NDRE and GNDVI were able to make a difference of 49.88% and 49.37% between the two groups.
[84]	Wheat	LR and SR	RGB	SRI, LRI	$R^2 = 0.81$	The correlation coefficients ( $r$ ) of 0.92 ( $R^2 = 0.81$ ) and 0.96 were achieved for white leaf rust and white stripe rust severity between UAV-estimated values and observed values
[16]	Wheat	YR	HS	SIPI, PRI, TCARI, PSRI, YRI GI, etc.	$R^2 = 0.88$	VIs and texture features were analyzed for yellow rust detection with PLSR. The combination of VIs and TFs provided the highest accuracy ( $R^2 = 0.88$ ) at the late infection stages.

#### 4.2. Conventional Machine Learning (ML)-Based Method

Traditional machine learning methods, such as support vector machines [85], artificial neural networks [20] and random forest [21], have been used for crop disease and stress detection using UAV imagery. The machine learning methods attempt to learn the patterns associated with the given data [53]. The two broad categories of machine learning methods are supervised and unsupervised learning. Here, supervised learning is based on labeled pairs of input and output data, whereas unsupervised learning explores the hidden patterns of unlabeled data. Furthermore, supervised learning algorithms, such as support vector machine (SVM), random forest (RF), decision tree (DT) and so on, use the training data to learn the rules and use these rules to classify or predict the output for the test data. However, unsupervised learning algorithms such as the K-means and SLIC methods process the input data to uncover the hidden patterns without any external supervision [40].

As the general pipeline of machine learning includes input data collection, feature extraction and model building [63], the conventional ML-based approach for crop disease detection with UAV imagery can be depicted as shown in Figure 5. Data collection, pre-processing, feature extraction and model building are the major steps involved in this pipeline. Once the field images are collected with drones, it is necessary to perform image pre-processing activities such as image corrections and image stitching to generate the final data product, such as orthomosaic images [40]. Next, useful information is extracted from the orthomosaic images using various feature extraction strategies, such as canopy features, vegetation indices and so on. Finally, model-building activities such as model training, validation and deployment are performed.



**Figure 5.** The general pipeline of conventional ML-based crop disease detection using UAV imagery.

Essentially, the conventional ML-based approach adds an extra feature extraction step on top of ST-based approaches, where the first few steps (data collection and pre-processing) are similar. The extra features, such as structural canopy features (e.g., crop height, volume, etc.), zonal statistics and texture features, are utilized while building ML models for crop disease estimation.

Given the ML pipeline shown in Figure 5, we synthesize the existing works on conventional ML-based approaches for crop disease estimation into two groups: supervised and unsupervised methods. The supervised approaches are further categorized into classification- and regression-based approaches based on how the crop disease variable is treated in the given work.

As reported in Table 4, the researchers tackled the crop disease detection task as either a classification or a regression task. Here, the classification-based approach represents the diseases as a discrete variable, where each pixel in the agricultural field images is classified as either diseased or non-diseased pixels (other pixels). Their performance is

measured based on how many pixels are correctly classified. Aligned with this category, Xavier et al. [86] implemented a machine learning classifier using SVM, MLR and RF with three spectral bands (NIR, red and green) as input features. With these bands, the SVM outperformed all other ML models for leaf blight detection on cotton. Similarly, Ye et al. [58] investigated the potential success of ML models such as SVM, RF and ANN for FW detection in bananas using only spectral bands (SB) as input features. With only SB, they reported the highest accuracy of 91.40% when using SVM as a disease classifier.

Besides the spectral bands, the VIs were also employed as features while implementing ML models for crop disease detection. For instance, potato late blight detection was investigated by Rodriguez et al. [87] using multispectral vegetation indices and ML models such as RF, GBM, SVC and KNN. The highest overall accuracy of 87.00% was reported with GBM. Tao et al. [56] utilized NDVI, RENDVI, DSM and the spectral band as input features to build a corn army-worm disease detection model. They experimented with multiple ML models such as RF, MLP, NB and SVM and achieved the highest accuracy of 98.50 with RF. The army-worm pest was successfully detected using these ML models with field imagery acquired at an altitude of 120m via drone. Furthermore, Fusarium head blight detection in wheat using hyperspectral vegetation index, texture features and original spectral bands was implemented by Liu et al. [59]. They build the disease classifier using a back-propagation neural network (BPNN) and simulated annealing and reported an accuracy of 98.00%. A Fusarium wilt (FW) detection task was performed on potatoes by [88] using mean VI and crop height as input features to the ML models. They used a tree-based model called gradient boosting machine (GBM) to build the FW detection model and reported an accuracy of only 84.00%. With these studies on FW detection on various crops using ML models, it can be noticed that the accuracies of various ML models on different crops have large variations. Therefore, it is very difficult to compare their performance as straightforward. It would be better to suggest that the use of these models depends on various factors such as climatic conditions, agricultural landscape and crop types.

Besides the classification models, the regression mapping of crop disease estimation was also carried out by a number of researchers [89,90]. Zhu et al. [89] utilized partial least square regression (PLSR), support vector regression (SVR) and a back propagation neural network (BPNN) for wheat scab (WS) estimation using UAV multispectral imagery. With various VIs and texture features, the SVR achieved the highest coefficient of determination ( $R^2$ ) of 0.83 and a minimum error (RMSE) of 3.35. Furthermore, yellow rust (YR) on wheat was investigated by Bohnenkamp et al. [90] using a hyperspectral sensor and an SVM. They reported an  $R^2$  of 0.63 with a combination of various VIs as input features. A UAV-based hyperspectral vegetation index, spectral bands and wavelet features were employed for Fusarium head blight (FHB) detection on wheat using an SVM [85]. With aerial imagery captured at an altitude of 60m, a strong correlation ( $R^2 = 0.88$ ) was found between the image-derived disease score and the manual disease score. These encouraging results demonstrated that UAV-based hyperspectral imagery has great potential for rapid and objective crop disease assessment.

There are very few works that use the unsupervised approach for crop disease estimation using UAV imagery. For instance, Wang et al. [62] implemented a K-means technique for cotton root rot (CRR) detection, where they combined it with SVM and achieved the highest accuracy of 88.50% with three spectral bands: red, green and NIR. In addition, Zhang et al. [57] utilized the iterative self-organizing data analysis technique (ISODATA), along with other supervised ML models such as SVM, BPNN, LR and RF, for Fusarium wilt (FW) detection on the banana crop. The ISODATA technique is an iterative algorithm that assigns the pixels to the nearest clusters and refines these clusters in each iteration. It is similar to the K-means but allows a different number of clusters while the number of clusters is fixed in the K-means in advance.

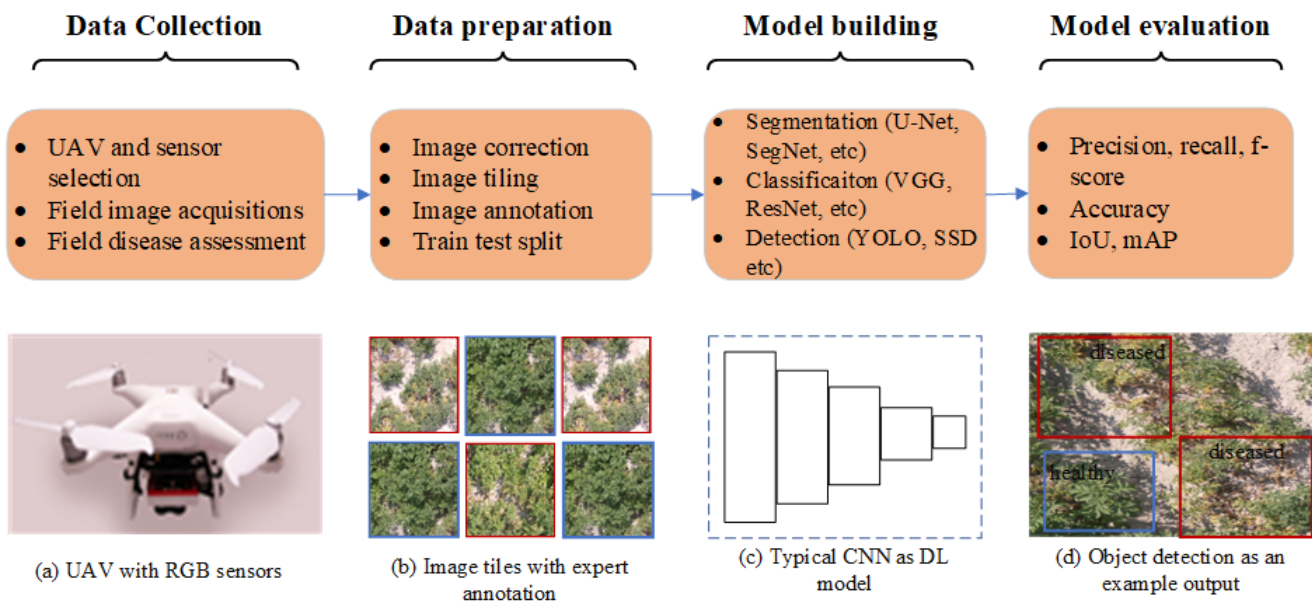
**Table 4.** The summary of conventional ML methods for crop disease estimation. Note that the disease abbreviations used in the tables are FW (Fusarium wilt), FHB (Fusarium head blight), LB (late blight), WS (wheat scab), YR (yellow rust), WLD (white leaf disease) CGD (citrus greening disease), CRR (cotton root rot), BRR (basal stem rot) and AW (army-worms). The other abbreviations are HA (hotspot analysis), SB (spectral band), TF (texture features), WV (wavelet feature) and DSM (digital terrain model).

Ref.	Crop	Disease	Sensors	Features	ML Methods	Eval. Metrics
[86]	Cotton	Leaf blight	MS	GRE, RED and NIR	MLR, SVM and RF	A = 79.00
[57]	Banana	FW	MS	WDRVI, NDVI, TDVI	SVM, RF, BPNN, LR, HA, ISODATA	A = 97.28
[58]	Banana	FW	MS	SBs	SVM, RF and ANN	A = 91.40
[59]	Wheat	FW	HS	SBs, VI and TF	BP with SA	A = 98.00
[88]	Potato	FW	MS	mean VI and Heights	GBM	A = 84.00
[85]	Wheat	FHB	HS	SBs, VIs, and WFs	SVM	$R^2 = 0.88$
[87]	Potato	LB	MS	SBs and VI	RF, GBM, SVC and KNN	A = 87.8
[89]	Wheat	WS	MS	VI and TF	PLSR, SVR, and BPNN	$R^2 = 83.00$
[90]	Wheat	YR	HS	VIs	SVM	$R^2 = 63.00$
[91]	Sugarcane	WLD	MS	VIs	XGB, RF, DT and KNN	A = 92.00
[92]	Citrus	CGD	MS	VIs	SVM	A = 81.75
[62]	Cotton	CRR	MS	GRE, RED and NIR	K-means, SVM	A = 88.50
[93]	Pam oil	BSR	MS	GRE, RED and NIR	ANN	A = 72.73
[56]	Corn	AW	MS	NDVI, RENDVI, DSM, red, green, RE and NIR	RF, MLP, NB, SVM	A = 98.50

#### 4.3. Deep Learning (DL)-Based Methods

Deep learning methods such as U-Net [94], SegNet [95], YOLO [96], Faster R-CNN [97], VGG [74] and ResNet [98] have been used extensively for crop disease estimation using UAV imagery. The basic building block of the deep learning architecture is basically the success of convolutional neural networks (CNN). The general pipeline for a deep learning framework for UAV-based crop disease estimation is shown in Figure 6 and consists of similar steps as in other learning frameworks such as data collection, data preparation, model building and model evaluation. However, there are specific needs during data collection and preparation when using UAV images. The data preparation steps involve specific tasks such as image stitching, image tiling and image annotation, which are essential to building the DL model for crop disease recognition.

As shown in Figure 4, the deep learning models implemented for crop disease estimation using UAV imagery can be categorized into classification-based, segmentation-based and detection-based approaches. Segmentation-based models attempt to classify each pixel in an image into different categories such as healthy vs. diseased pixels, whereas classification-based models look into overall images and classify the image into pre-defined disease classes. Furthermore, the detection-based models draw a bounding box around the object of interest (localization) along with its label (e.g., disease or healthy as shown in Figure 6). Based on the approaches used to build crop disease models, their evaluation matrices are accuracy, precision, recall intersection over union (IoU) and mean average precision (mAP). Tables 5–7 report the performance of each deep learning method along with other parameters such as crop and disease types, sensors and flight heights.



**Figure 6.** The general pipeline of DL-based crop disease detection using UAV imagery.

#### 4.3.1. Pixel-Based Segmentation Models

The image segmentation model normally classifies the image pixels into different regions or categories. Traditional methods of image segmentation include clustering the pixels into different groups using iterative techniques such as K-means or ISODATA. Deep learning-based image segmentation methods utilized the encoder–decoder structure where the encoder includes the combination of convolutions and down-sampling operations to represent the input image into the latent space and then the decoder reconstructs the segmentation map from such latent space using up-sampling operations. The popular encoder–decoder architectures for image segmentation used cooperatively with UAV imagery are U-Net [94] PSPNet [99], SegNet [95] and others [100], as reported in Table 5.

The majority of the existing works [94,101,102] for crop disease segmentation in UAV imagery utilized U-Net [103], one of the widely used DL architectures for semantic segmentation. A wheat yellow rust monitoring using UAV was implemented by Su et al. [94]. The U-Net with various input combinations were designed and tested where the five-band input outperforms all other combinations such as RGB only and VIs. Furthermore, U-Net was used to detect the nematodes on coffee with RGB images acquired at a flight altitude of 10 m by Oliveira et al. [101]. They also trained PSPNet to detect the nematode pest on coffee images at different resolutions and compared its performance to U-Net, where the U-net outperformed the PSPNet with an overall precision of 69.00%. A modified version of U-Net was proposed by Zhang et al. [102] for wheat yellow rust detection with the RGB aerial images. They improved U-net architecture by adding irregular encoder and decoder modules along with a channel-wise re-weight module and compared its performance with the original U-net. Their results showed that the modified U-Net achieved an overall accuracy of 97.13% with five bands of an input image. Another study on yellow rust detection on wheat with multispectral images and U-net was conducted by [104] with an overall accuracy of 96.3%. With these observations, it can be put forward that the U-net has merits for crop disease segmentation with aerial images either with multispectral sensors or RGB sensors. However, it should also be noted that these UAV images should come with high resolutions and be acquired within the range of less than 30 m of altitude.

Similarly, Mask R-CNN [105], SegNet [95], FCN [106], PSPNet [99], DeepLabV3 [100], CropDocNet [107] and VddNet [108] were also utilized for the segmentation of various crop diseases, as reported in Table 5. For instance, Stewart et al. [105] implemented an instance segmentation model based on Mask R-CNN for northern leaf blight (NLB) on maize. They achieved an average precision of 0.96, while the intersection over union



(IOU) was set to 0.50. With such promising results, it is projected that deep learning-based methods for instance segmentation using UAV imagery have a great potential for plant disease detection. Mildew disease detection in vine was investigated by Kerkech et al. [95] using multispectral images and SegNet [109]. They used SegNet to classify each pixel of the vine field images into shadow, ground, healthy and mildew symptom classes. Their method achieved the highest detection accuracy of 92% at the grapevine level while the detection accuracy was 87% at the leaf level. Similarly, Cercospora leaf spot (CLS) detection on sugar beet was investigated by [106] using a fully connected neural network (FCN). Their FCN was based on DenseNet [66], which was trained on pixels classified as CLS, healthy and background. Their method achieved an f-score of 44.48%, 88.26% and 93.90 for CLS, healthy and background pixels, respectively, under changing field conditions.

**Table 5.** Summary of pixel-based segmentation DL models for crop disease detection using UAV imagery. Note that the disease abbreviations are denoted as NLB (northern leaf blight), VD (vine disease), CLS (Cercospora leaf spot), YR (yellow rust), NM (nematodes), SR (stripe rust), LB (late blight).

Ref.	Crop	Disease	Sensors	Height	DL Methods	B	Recall	F-Score	Acc.
[105]	Maize	NLB	RGB	6 m	Mask R-CNN	96.00	-	-	-
[95]	Grape	VD	RGB & NIR	-	SegNet	84.04	90.47	87.12	-
[106]	Sugar	CLS	RGB	-	FCN	74.81	80.25	75.55	-
[99]	Wheat	YR	RGB	-	PSPNet	-	-	-	94.00
[94]	Wheat	YR	MS	20 m	U-Net	91.30	92.60	92.00	-
[101]	Coffee	NM	RGB	10 m	U-Net & PSPNet	-	-	69.00	-
[100]	wheat	SR	RGB	50 m	DeepLabv3+	-	-	81.00	-
[102]	wheat	YR	RGB	-	Ir-UNet	-	-	-	97.13
[107]	Potato	LB	HS	30 m	CropdocNet	-	-	-	95.75
[105]	Maize	NLB	RGB	6 m	Mask R-CNN	96.00	-	-	-
[106]	Sugar	CLS	RGB	-	CNN	74.81	80.25	75.55	-
[108]	Vine	VD	RGB-NIR-D	25 m	VddNet	-	-	-	93.72
[104]	Wheat	YR	MS	20 m	UNet, DF-UNet	-	-	-	96.93

#### 4.3.2. Object-Level Classification Models

The object-level classification models take an image as input and classify the images into one of the predetermined object classes. Since UAV images are acquired as overlapped tiles of agricultural fields and are later stitched into a single agricultural field map, the crop field region can be divided into small object-level tiles. Then, using such tiles, the deep learning model can be trained to classify the image tiles into diseased regions or healthy regions. As a post-processing task, these outputs can be merged again to rebuild the original agricultural field map with diseased vs. healthy regions. As reported in Table 6, two types of DL methods were used for crop disease classification using UAV imagery: first, existing pre-trained deep learning architectures such as ResNet [98], Inception-v3 [110], VGG [74], DenseNet [111], MobileNet [112] and GoogleNet [113], which were mostly trained on ImageNet [114] and are easily available for use in any other task as transfer learning, and second, custom-designed convolutional neural networks (CNNs) specific to a particular task that need training from scratch.

A transfer learning approach using ResNet [67] architecture was implemented by Wu et al. [98] for lesion detection on maize with high-resolution RGB UAV imagery captured by flying a drone 6m above the ground in two stages. In the first stage, they trained a backbone CNN (ResNet [67]) by randomly cropping sub-images of size  $500 \times 500$ . Furthermore, transfer learning was implemented using the ResNet-34 pre-trained on ImageNet [114]. Next, a disease heat map was generated with the output of a previously trained CNN while feeding the patch generated with sliding windows over the original UAV images. Similarly, a transfer learning approach with multiple existing deep learning architectures, such as VGG, ResNet, Inception and Xception, for soybean leaf disease classification using RGB

imagery was implemented by Tetila et al. [110]. Their framework included three steps: (a) UAV image acquisition, (b) leaf segmentation using SLIC and (c) the classification of leaves into various disease levels using existing DL methods. Comparing the performance of the DL models, the Inception network outperformed all other DL models with an overall accuracy of 99.04%. Similarly, a deep learning method based on InceptionResNet [73] was investigated by Zhang et al. [72] for yellow rust detection on wheat using hyperspectral imagery. Here, a sliding window approach was used to create the patch of an image, and then these patches are fed into a DCNN (Inception-ResNet) for rust classification with an overall accuracy of 85.00%. Finally, post-processing was carried out to visualize the rust map.

Besides the existing pre-trained DL models, few researchers have implemented custom CNNs specially designed for the detection of particular crop diseases. For instance, Kerkech et al. [115] implemented a CNN (inspired by LeNet-5 [116]) for RGB images at the block or patch level that classifies sliding windows of images (object) into four designated classes: ground, healthy, partially diseased and diseased. Then, each image patch was post-processed to generate the disease map. They reported the highest accuracy of 95.8%, while classifying the tiles into four classes. Similarly, a convolutional neural network (CNN) that shared the basic architecture of the classic LeNet-5 was designed by Huang et al. [117] for HLB classification on wheat with RGB imagery. When comparing its performance with SVM with various features such as LBP, histogram and VIs, an overall accuracy of 91.43% was achieved with CNN, whereas SVM provided only 90.00% accuracy.

**Table 6.** Summary of object-level classification-based DL models for crop disease detection using UAV imagery. Note that the abbreviations used are NLB (northern late blight), YR (yellow rust), SD (Soybean disease), FW (Fusarium wilt), corn disease (CD), BD (banana diseases), FAW (fall army-worms), VD (vine disease) and HLB (Helminthosporium leaf blotch).

Ref.	Crop	Disease	Sensors	Height	DL Methods	Acc. (%)
[118]	Potato	virus	RGB	10 m	CNN	84.00
[98]	Maize	NLB	RGB	6 m	ResNet-34	95.10
[72]	Wheat	YR	HS	30 m	Inception-ResNet	85.00
[110]	Soybean	SD	RGB	2 m	Inception-v3, ResNet50, VGG-19, Xception	99.04
[74]	Radish	FW	RGB	-	VGG	93.30
[111]	Corn	CD	RGB	12 m	VGG, ResNet, Inception, DenseNet169	100.00
[113]	Radish	FW	RGB	10 m	GoogleNet	90.00
[119]	Banana	BD	RGB	50 m	VGG and CNN	92.00
[112]	Maize	FAW	RGB	5 m	VGG16, VGG19, Inception-v3 and MobileNet	100.00
[115]	Grape	VD	RGB	25 m	CNN	95.80
[117]	Wheat	HLB	RGB	80 m	CNN	91.43

#### 4.3.3. Object Detection-Based Models

Object detection is one of the most investigated tasks in the computer vision field [120]. It consists of both object classification and localization, which makes it more challenging compared to image classification tasks. Image classification involves assigning a specific class to a single image, whereas object detection involves assigning a label to an object and drawing a bounding box around the object of interest (localization) [96].

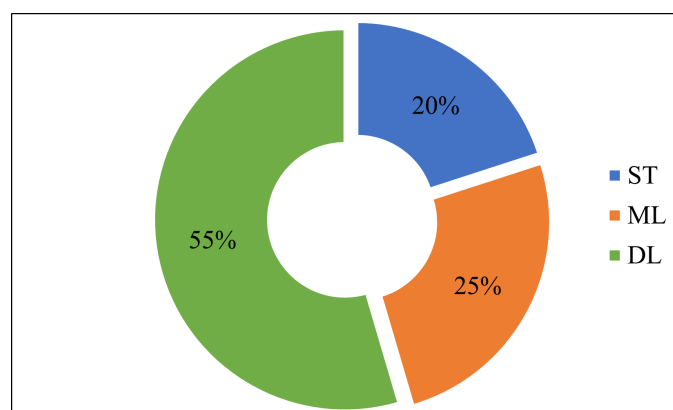
There are various methods proposed for object detection, which can be grouped into two broad categories: two-stage and single-stage detectors. The two-stage object detectors, such as R-CNN [121], first propose a set of RoIs (regions of interest) using an algorithm such as selective search. From these candidate regions, a DL architecture, such as VGG [65], extracts the deep features, and finally, a classifier, such as a linear SVM, classifies them into known classes. However, in one-stage detectors, the input images are required to pass through the DL model only once, and thereby, the bounding boxes for the object are predicted. As shown in Table 7, most works use one-stage detectors such as

YOLO [96], RetinaNet [122], CenterNet [123] and so on. Two-stage detectors, such as Faster R-CNN [121], are used by very few works [97].

**Table 7.** The summary of object-detection-based crop disease detection using UAV imagery. Note that the abbreviations used for the diseases are CRR (cotton rot root), WW (worm-whole) WLD (white leaf disease), DS (drought stress) and TLB (tea leaf blight).

Ref.	Crop	Disease	Sensors	Height	Methods	Metrics (%)
[96]	Cotton	CRR	MS	120 m	YOLOV5	A = 70.00
[123]	Brassica chinensis	WW	RGB	2 m	CenterNet	A = 87.20
[97]	Sugar	WLD	RGB	20 m	YOLOV5, Faster R-CNN, DETR	P = 95.00
[122]	Potato	DS	RGB	-	RetinaNet-Ag	P = 74.00
[124]	Tea	TLB	RGB	5 m	DDMA-YOLO	P = 73.8

The overall distribution of the existing works based on the given taxonomy (refer to Figure 4) is reported in Figure 7, where the majority of the works (55%) include deep learning techniques, followed by 25% which use machine learning-based techniques. Statistics-based techniques are used by the lowest number of publications. This also shows the high inclination of precision agriculture researchers towards deep learning methods for crop disease estimation based on UAVs and remote sensing technology.



**Figure 7.** The distribution of existing works (N = 55) based on the methods used for crop disease estimation using UAV imagery. Note that “ST”, “ML” and “DL” represent the statistics-based, conventional machine learning-based and deep learning-based methods, respectively.

## 5. Results and Discussion

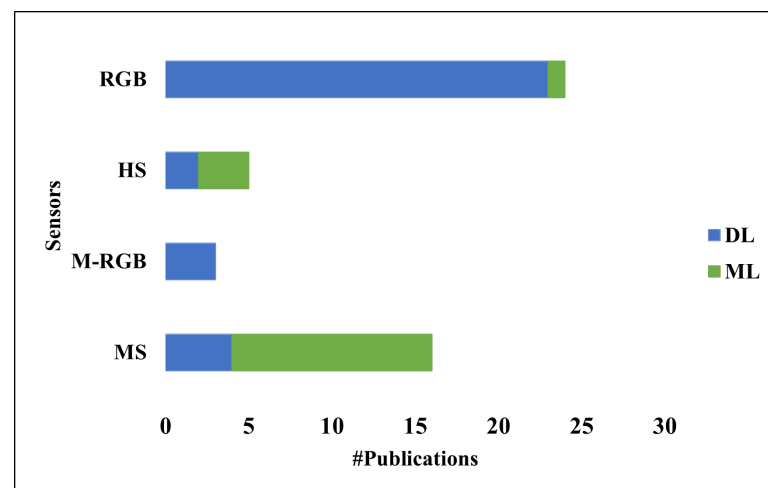
In this section, we report the synthesis of existing work covered under the review questions outlined in Section 2. Accordingly, we first discuss the various UAV platforms, sensors and their configurations, such as flight height, used by the existing literature. Furthermore, we overview the impact of such platforms on the performance of crop disease estimation methods listed in the taxonomy. Second, we listed the most successful vegetation indices along with their achievements in particular crop disease detection. In addition, we also analyzed the performance of advanced data-driven crop disease estimation methods such as conventional ML and DL, along with various features, including vegetation indices. Finally, we wrap up this section with limitations, challenges and future avenues of UAV-based crop disease estimations.

### 5.1. UAV Sensing Systems

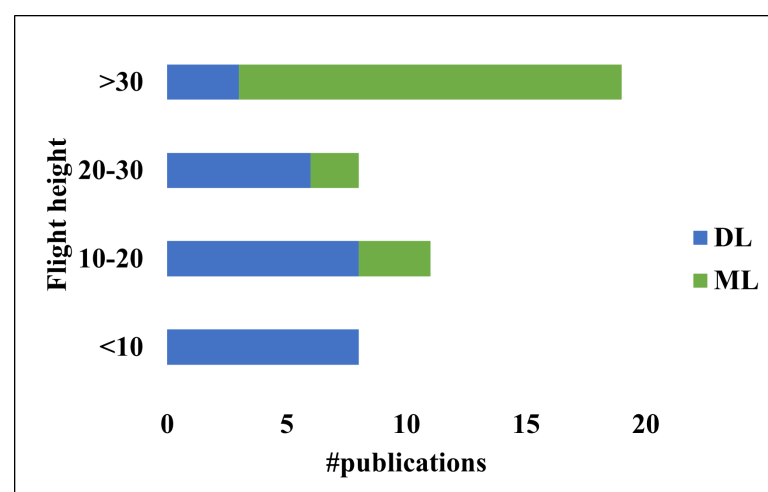
UAV sensing systems have progressed well over the past few decades as a useful tool for crop health monitoring and early disease detection, thereby providing an opportunity to manage crop disease epidemics [40]. When we seek the answer to RQ1 (refer to Section 2), the most popular and successful UAV platforms and sensors were rotary-wing

UAVs combined with RGB, multispectral and hyperspectral sensors in general. However, when comparing ML-based or DL-based approaches used for crop disease estimation, we found that RGB sensors were frequently used together with a DL-based approach, while MS sensors were more often combined with ML-based methods (refer to Figure 8). These statistics further evidence that the DL-based methods demand very high spatial resolution images taken closer to the crops, which can be acquired with RGB sensors. Hyperspectral sensors have been used in very few works (both in ML-based as well as DL-based approaches), as seen in Figure 8. This might be attributed to the high cost associated with these sensors as well as to the complexity involved in processing HS data.

Interestingly, the altitude used for UAV flight has a distinct relationship with crop disease modeling approaches. Here, all publications based on the ML framework have used UAV flight altitudes greater than 10 m and the majority of them belong to flight heights greater than 30 m. However, the trend is just the opposite when DL-based approaches are considered. Very few publications used UAV images acquired at an altitude greater than 30 m, while the majority (more than half) of works set the flight height to less than 20 m (refer to Figure 8). This further indicates that the demands of high-spatial-resolution images from DL-based methods were met by RGB sensors at lower altitudes.



(a)



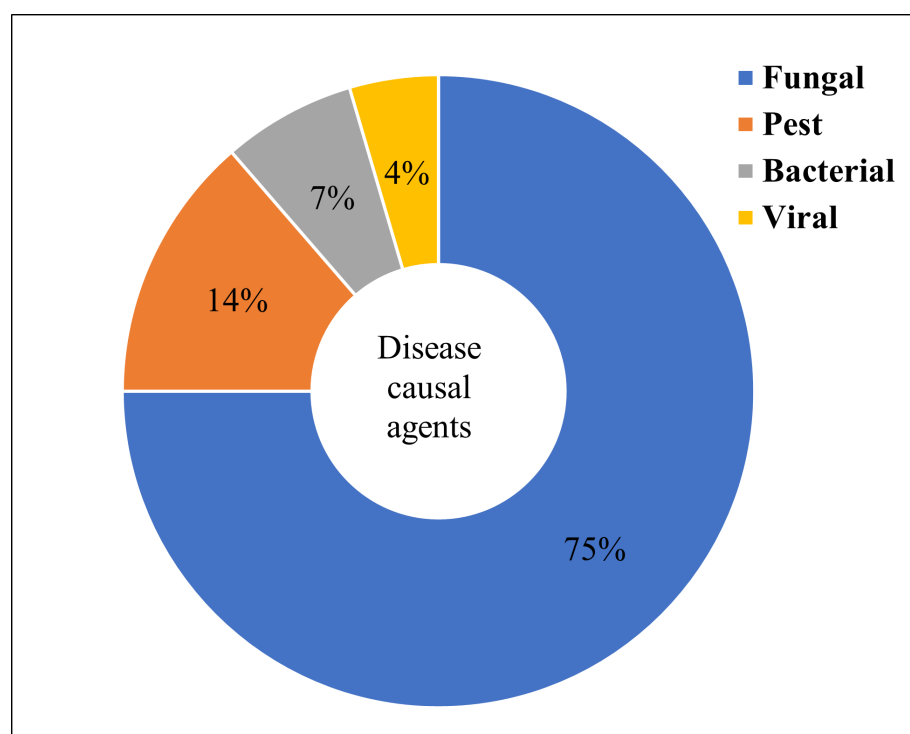
(b)

**Figure 8.** The distribution of existing works based on (a) sensors and (b) flight altitudes used in UAV image acquisition. Note that M-RGB denotes modified RGB sensors.

### 5.2. Type of Crops and Crop Diseases

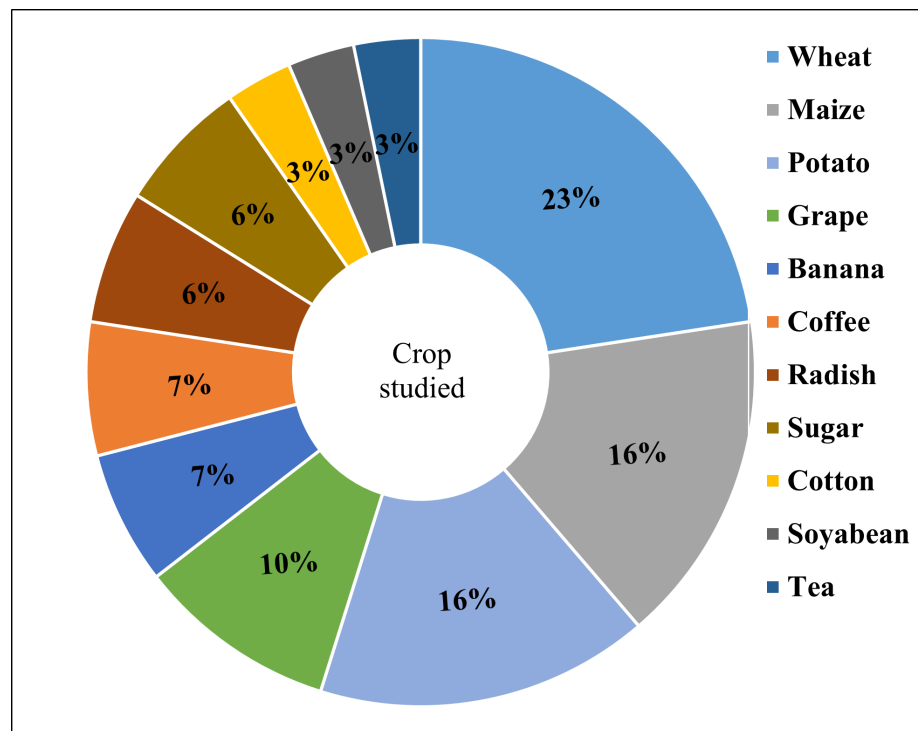
The crop stresses caused by biotic agents such as fungi, bacteria and viruses are infectious and require a well-equipped prevention and protection plan to prevent them from becoming an epidemic. Observable crop disease symptoms include changes in the color and shape of the plant, which are mostly reflected on the leaves, such as leaf spots, yellowing of the leaves and so on. Therefore, manual assessment of crop disease relies on the visual symptoms that appear on the various parts of the crop. These symptoms depend on the type of crop disease and its causal agents. For example, crop diseases caused by fungi show symptoms such as leaf rust, yellowing of leaves, leaf spots, white mold and stem rust. Therefore, it is reasonable to analyze the existing works on crop disease using UAV imagery, based on casual agents. We grouped the available works into four groups: insect pests and fungal, bacterial and viral diseases, as shown in Figure 9 along with the crop types they affect.

It can be clearly seen from Figure 9 that fungal diseases cover 75% of the total publications considered in this survey. This might be due to the fact that fungal diseases are more common crop diseases and thus need more attention from researchers. In addition, the symptoms of fungal diseases are highly visually observable and can be captured with RGB images used by the majority of the DL-based approaches (refer to Figure 10). Among the crop diseases considered, disease detection in wheat crops was investigated by 23% of the total works, followed by maize (16%) and potato (16%) diseases. Fusarium wilt (fungal disease) in wheat is widely investigated and successfully detected by the majority of the works using DL and ML techniques based on UAV imagery.



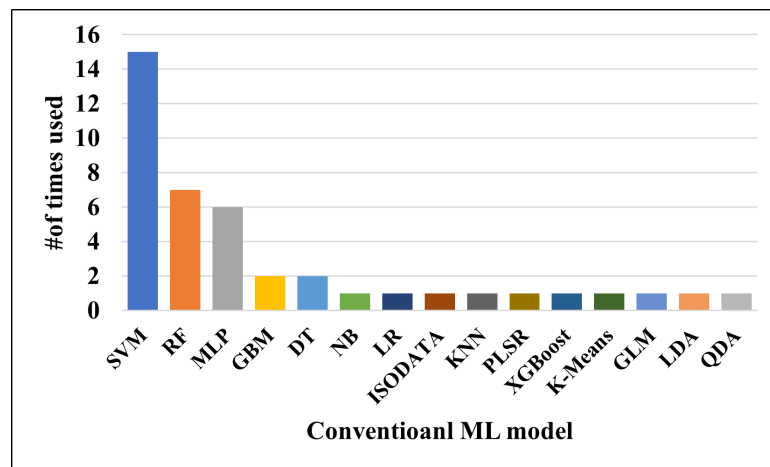
(a)

Figure 9. Cont.



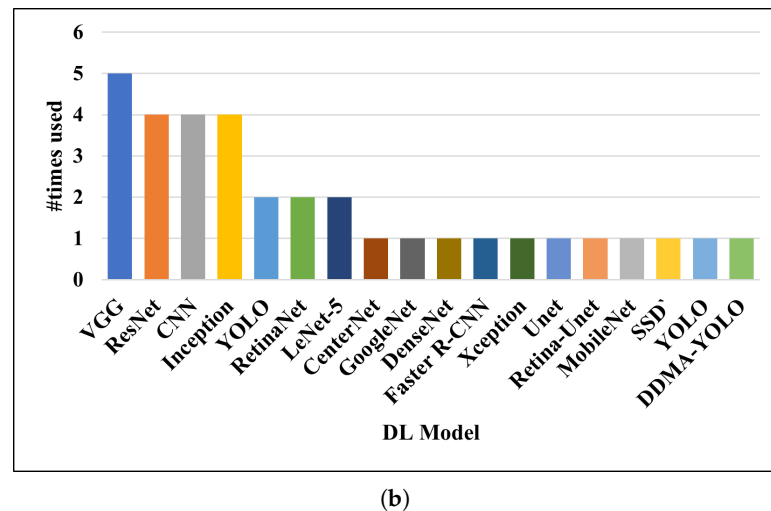
(b)

Figure 9. The distribution of existing works based on (a) crop diseases’ causal agents and (b) crops studied.



(a)

Figure 10. Cont.



**Figure 10.** The distribution of existing works based on (a) conventional ML-based methods and (b) DL-based methods.

### 5.3. Conventional ML and DL Methods

Due to the availability of a large amount of data from various imaging sensors and UAVs, data-driven methods such as conventional ML and DL have shown promising results for crop disease estimation compared to traditional ST-based methods. Nevertheless, these results come with the aid of the expert-labeled data required to train the ML and DL models. To look into a research trend on the use of ML and DL, the number of times a particular ML and DL model used in each research work is reported in Figure 10. From Figure 10, it is noticed that SVM is the most popular and most used conventional ML model for crop disease estimation using UAVs, followed by RF, MLP and GBM. Similarly, VGG is the most frequently used DL model, followed by ResNet, CNN, Inception and so on.

When looking into the performance of conventional ML models (refer to Table 4), the accuracies of these ML models for estimating various crop diseases range from 72% to 98% for classification, and their coefficients of determination range from 63% to 88%. The highest accuracy of 98.00% was reported for Fusarium wilt detection in banana, whereas the lowest accuracy of 72.73% was achieved for cotton rot root detection. Since the performances of these ML models are influenced by various parameters such as the sensors used to acquire crop images, the types of crops and diseases (pests or pathogens) consider and the UAV's flight altitude, it is hard to recommend any particular ML method as a perfect solution over other ML methods. However, it can be concluded that the ML methods in general worked better for crop disease estimation with multispectral and hyperspectral sensors compared to RGB sensors.

Similarly, the accuracies of DL models for crop disease segmentation range from 93% to 97%, and classification ranges from 85% to 100%, which showed that the DL models are more accurate than their counterparts (ML- and ST-based methods) for crop disease detection. However, the DL-based methods are not as straightforward as ML- and ST-based methods as they normally required pre- and post-processing of UAV images to generate disease maps (Figure 6).

### 5.4. Summary of Findings

We summarize the finding of this survey as follows: (a) the strength of existing works, (b) the current focus and research trends of UAV-based crop disease estimation and (c) the current challenges and their possible solutions. The development of UAV platforms and sensors is rapidly changing the paradigm of crop disease detection with the aid of ML and DL methods. It can be seen from the survey that RGB sensors are largely used for crop disease estimation using DL methods, which shows the changing trend of using low-cost

sensors in place of high-cost sensors such as HS and MS. Similarly, while considering the performance of crop disease estimation methods, DL methods with high accuracy have emerged as a promising solution over the traditional ST-based and ML-based methods. Furthermore, the meta-analysis of the existing literature revealed that crop diseases caused by fungi (fungal diseases) are the most investigated diseases using UAV-based remote sensing compared to other crop diseases such as those caused by bacteria, viruses and pests. Owing to the success of advanced DL-based models for crop disease estimation using UAV technology, we detail the following challenges and possible strategies as future avenues of research:

- (i) The UAV sensing systems' parameters, such as flight altitude, payloads and sensors (for image acquisition), affect the performance of crop disease estimations. For instance, small UAVs have a limited payload, which prevents their use for large-scale crop disease estimations. Hence, further research and development are expected towards low-cost sensing technology with higher payloads. In addition, image resolution is critical while using DL models, where high-spatial-resolution images can be obtained by flying the UAV at a low altitude or using other up-sampling techniques.
- (ii) The promising results on crop disease estimation using DL models show the possibility for the further expansion of DL models to various crop disease detection tasks. However, the main challenge associated with such models is the scarcity of labeled data. It is quite expensive to label the UAV-acquired images with corresponding disease labels, as it requires the involvement of crop disease experts. However, unsupervised or semi-supervised techniques might be developed in the near future.
- (iii) When choosing to use conventional ML as well as DL models for crop disease detection, it is hard to make a decision among the existing DL architectures, as they have produced different levels of accuracy in different works. It would be interesting to develop a benchmark dataset for various crop diseases so that various DL models can be benchmarked and compared for better performance considerations.
- (iv) Since DL-based methods require high computational resources, it is essential to work towards light-weight DL models which can be easily simulated on edge computing platforms such as the IoT.

## 6. Conclusions

In this survey, a systematic review and meta-analysis of existing works on crop disease estimation using UAVs is performed by providing a taxonomy which categorizes the crop disease estimation methods used in the existing literature into three broad groups: ST-based, conventional ML-based and DL-based. Using such a detailed taxonomy for crop disease estimation, we compare and contrast the impact of the UAV platforms and sensors for crop disease estimation, while conventional ML and DL methods are used for data analysis. Then, the performance of ML and DL methods for crop disease estimation using UAVs are reported and compared with the traditional ST-based methods. The survey results reveal that the multispectral sensors are widely utilized by ST-based methods and ML-based methods, whereas RGB sensors are preferred by DL-based methods. On average, for the majority of the works, the DL-based methods provided the highest accuracy for crop disease estimation, followed by ML- and ST-based methods.

To sum up, this review demystifies the use of various UAV platforms, sensors and data analysis techniques for the UAV-based remote sensing of crop diseases by providing a detailed taxonomy and meta-analysis of the existing literature. It also presents challenges, opportunities and possible research directions on drone-based remote sensing for crop disease estimation. Needless to say, the DL-based models are the most successful in UAV-based crop disease estimation compared with the ML- and ST-based methods. Nevertheless, the DL models are more like a black box and will require sufficient attention to address their transparency and explainability, which will ultimately build trust in them and increase their reliability. An emerging challenge seems to be exploring the possibility of combining the various modalities of remote sensing data for crop disease detection. Another direction is



to develop light-weight DL models so that they can be easily simulated on edge computing platforms such as the IoT.

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### Abbreviations

The following abbreviations are used in this manuscript:

ANN	Artificial neural network
BPNN	Back propagation neural network
CNN	Convolutional neural network
DL	Deep learning
DT	Decision tree
DSM	Digital surface model
DL	Deep learning
DCNN	Deep convolution neural network
FCN	Fully connected neural network
GPS	Geographical positioning system
GBM	Gradient boosting machine
GLM	Generalized linear models
ISODATA	Iterative self organizing data analysis technique
IoT	Internet of things
IoU	Intersection of union
KNN	K-nearest neighbor
LR	Linear regression
LDA	Linear discriminant analysis
mAP	Mean average precision
MLP	Multi-layer perceptron
ML	Machine learning
MLR	Multiple linear regression
NB	Naive Bayes
PLSR	Partial least square regression
PA	Precision agriculture
QDA	Quadratic discriminant analysis
RF	Random forest
ROI	Region of interest
SVM	Support vector machine
UAV	Unmanned aerial vehicle
VI	Vegetation index
VGG	Visual geometry group
XGBoost	eXtreme gradient boosting

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