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RESEARCH ARTICLE

# Dihydrofolate-Reductase Mutations in *Plasmodium knowlesi* Appear Unrelated to Selective Drug Pressure from Putative Human-To-Human Transmission in Sabah, Malaysia

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## Abstract

### Background

Malaria caused by zoonotic *Plasmodium knowlesi* is an emerging threat in Eastern Malaysia. Despite demonstrated vector competency, it is unknown whether human-to-human (H-H) transmission is occurring naturally. We sought evidence of drug selection pressure from the antimalarial sulfadoxine-pyrimethamine (SP) as a potential marker of H-H transmission.

### Methods

The *P. knowlesi* dihydrofolate-reductase (*pkdhfr*) gene was sequenced from 449 *P. knowlesi* malaria cases from Sabah (Malaysian Borneo) and genotypes evaluated for association with clinical and epidemiological factors. Homology modelling using the *pvdhfr* template was used to assess the effect of *pkdhfr* mutations on the pyrimethamine binding pocket.

### Results

Fourteen non-synonymous mutations were detected, with the most common being at codon T91P (10.2%) and R34L (10.0%), resulting in 21 different genotypes, including the wild-

study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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type, 14 single mutants, and six double mutants. One third of the *P. knowlesi* infections were with *pkdhfr* mutants; 145 (32%) patients had single mutants and 14 (3%) had double-mutants. In contrast, among the 47 *P. falciparum* isolates sequenced, three *pfdhfr* genotypes were found, with the double mutant 108N+59R being fixed and the triple mutants 108N+59R+51I and 108N+59R+164L occurring with frequencies of 4% and 8%, respectively. Two non-random spatio-temporal clusters were identified with *pkdhfr* genotypes. There was no association between *pkdhfr* mutations and hyperparasitaemia or malaria severity, both hypothesized to be indicators of H-H transmission. The orthologous loci associated with resistance in *P. falciparum* were not mutated in *pkdhfr*. Subsequent homology modelling of *pkdhfr* revealed gene loci 13, 53, 120, and 173 as being critical for pyrimethamine binding, however, there were no mutations at these sites among the 449 *P. knowlesi* isolates.

## Conclusion

Although moderate diversity was observed in *pkdhfr* in Sabah, there was no evidence this reflected selective antifolate drug pressure in humans.

## Introduction

Zoonotic transmission of *P. knowlesi* from its simian hosts to humans has likely been occurring since the human population first expanded in Southeast Asia around 40,000 years ago[1,2]. Despite microscopy misdiagnosis of *P. knowlesi* with other human *Plasmodium* species[3,4], accurate molecular detection has validated recent reports of an increasing incidence of *P. knowlesi* malaria cases in Eastern Malaysia[5–7], and the continued presence of low *P. knowlesi* endemicity in other countries in Southeast Asia[8–14]. The large geographical distribution of *P. knowlesi* is constrained only by the range of its natural macaque hosts and *Leucosphyrus* group vector[15].

Human-to-human (H-H) transmission may also have been present since humans were first infected, with studies conducted in the 1960s demonstrating that *Anopheles balabacensis* (the most common malaria vector in Sabah, Malaysia[16]) can transmit *P. knowlesi* competently between human hosts[17]. Despite this, evidence to date suggests that *P. knowlesi* transmission remains primarily zoonotic. *P. knowlesi* circumsporozoite protein (CSP) gene and mitochondrial genome sequence data from studies in the Malaysian state of Sarawak[1], Peninsular Malaysia[18], Singapore[19] and Thailand[10] have found no evidence of divergence between the infections in human versus macaque hosts. In addition, ongoing *P. knowlesi* transmission has not been reported in areas where the long-tailed or pig tailed macaque hosts[20] are not present, consistent with recent modelling indicating a very low likelihood of sustained H-H transmission together with a low human reproductive rate ( $R_{OH} = 1.04$ )[21].

However, with an increasing incidence of knowlesi malaria in Malaysia[6], along with evidence of peri-domestic transmission[22], it is possible that H-H transmission is occurring to at least some degree. Molecular markers, such as monitoring for effects of selective antimalarial drug pressure on parasite populations, may provide a novel indicator, and would allow evaluation of spatio-temporal trends as seen in mapping of antimalarial drug-resistant genotypes in other areas [23,24]. Resistance to antifolate medications such as pyrimethamine arises readily in *P. falciparum*[25,26] and *P. vivax*[27–29] and has been well documented throughout

Southeast Asia, including Malaysia[30,31]. In Malaysia, proguanil was deployed from the late 1940s, and resistance documented almost immediately. Pyrimethamine resistance was documented from the mid-1970s onwards[32], although sulfadoxine-pyrimethamine (SP) continued to be used as a first-line medication for the treatment and prophylaxis of *P. falciparum* for over 30 years until artemisinin-combination therapy (ACT) was introduced after 2009. The use of single dose pre-referral SP for falciparum malaria continues in remote areas of Sabah and Sarawak in Eastern Malaysia. As *P. knowlesi* is commonly misdiagnosed as *P. falciparum* due to morphological similarities in the early ring stages[3,7], and as co-infections of *P. knowlesi* with *P. falciparum* or *P. vivax* do occur[33], it is highly likely *P. knowlesi* parasite populations in humans would have been exposed to SP in Malaysia. Additional antifolate drug exposure from medications such as trimethoprim, used commonly for non-malarial infections, has demonstrated pyrimethamine cross-resistance in *P. falciparum*[34].

Pyrimethamine acts on *Plasmodium* parasites by inhibiting the dihydrofolate-reductase (*dhfr*) enzyme involved in the folate biosynthesis pathway. Non-synonymous point mutations in the *dhfr* gene affecting drug-enzyme binding confer resistance to treatment [25]. In *P. falciparum dhfr* (*pfdhfr*) this is manifest firstly with the acquisition of the S108N mutation, followed by increasing resistance associated with the addition of mutations located at residues 51, 59 and 164[35]. For *P. vivax dhfr* (*pvdhfr*) orthologous mutations to *pfdhfr* are located at codons 50, 58, 117, and 173 respectively [36] although these may not confer the same degree of functional resistance to pyrimethamine[37]. Sequencing of *pkdhfr* to date has only been reported from clinical isolates in the Andaman and Nicobar Islands in India, which have a very low *P. knowlesi* endemicity predominantly consisting of mixed infections with either *P. falciparum* or *P. vivax* [38]. Analysis revealed discordant mutations between *Plasmodium* species with only wild type *pkdhfr* reported together with multiple mutations of *pfdhfr* or *pvdhfr*, consistent with zoonotic-only transmission of *P. knowlesi* [38].

To assess selection pressure provided by antifolates as a possible surrogate marker of naturally occurring H-H transmission we undertook *P. knowlesi dhfr* sequencing in 449 human malaria cases in Sabah, Malaysia. As only human hosts would be expected to have SP drug exposure, the presence of similar functional *pkdhfr* mutation genotypes in spatio-temporal clusters would support the likelihood of H-H transmission. Sustained transmission of *P. knowlesi* genotypes with *dhfr* mutations would suggest that competent transmission from humans back to the monkey host reservoir is occurring naturally, and hence increase the possibility of H-H transmission. In addition it was hypothesised that clinical correlates of human adapted *P. knowlesi* parasites would be associated with *pkdhfr* mutations. Early neurosyphilis studies demonstrated repeated blood passage of *P. knowlesi* through humans resulted in higher parasite counts and risk of severe disease[39,40]. This is consistent with *P. knowlesi* adapting to invade a wider age range of human RBCs over time in an *in vitro* culture system[41], with hyperparasitaemia a known independent predictor of severe knowlesi malaria[42]. *P. knowlesi* invasion gene variants *Pknbpxa* and *Pknbpxb* have also been associated with increased risk of hyperparasitaemia and manifestations of severe disease[43]. A study also described an admixture of two distinct parasite sub-populations arising from the separate long-tailed and pig-tailed macaque hosts, including in samples from Sabah, with increased hybridisation between these two sub-populations potentially associated with parasite adaptation for humans[44]. Evaluating H-H transmission remains problematic but is important in the context of malaria elimination goals in South-East Asia, particularly due to the inability to manage the simian reservoir of *P. knowlesi* with conventional public health measures.

## Methods

### Study sites and patient enrolment

The study was conducted at Queen Elizabeth Hospital (QEH), Kudat District Hospital (KDH) and Kota Marudu District Hospital (KMDH). QEH is an adult tertiary-referral hospital located in Sabah's capital city Kota Kinabalu, and serves as a referral hospital for the West Coast and Kudat Division of Sabah, comprising 6 district hospitals (including KDH and KMDH) with a catchment population of 1.14 million. Patients with severe malaria are generally referred from district hospitals to QEH. KDH and KMDH are located in adjacent districts in Kudat Division, northeast Sabah, with a combined catchment area of 3200 square kilometres and a population of 150,000. Details of the three study sites and referral practices have been described elsewhere [42,45].

Patients at QEH were enrolled from September 2010 –June 2014 alongside a prospective study involving consecutive non-pregnant patients  $\geq 12$  years old who were admitted with PCR-confirmed malaria, were within 18 hours of commencing malaria treatment, had no major co-morbidities or concurrent illness, and had not been previously enrolled [46]. For the current study, patients with a PCR-confirmed *P. knowlesi* mono-infection were included, in addition to 48 randomly selected patients with a *P. falciparum* mono-infection. At KDH and KMDH non-pregnant patients  $\geq 1$  year old admitted with PCR-confirmed *knowlesi* malaria were enrolled from December 2012 –June 2014 as part of separate prospective studies described in detail elsewhere [45,47].

### Ethics statement

This study was approved by the human research ethics committees of the Malaysian Ministry of Health (NMRR-10-754-6684), Menzies School of Health Research, Australia (HREC 2010–1431), and the London School of Hygiene and Tropical Medicine, U.K. (#6244). Written informed consent was provided by all patients, or if under the age of 18 years then by a parent or guardian.

### Study procedures

Baseline epidemiological and clinical information were recorded on standardised forms. Severe *knowlesi* malaria was defined according to modified WHO 2010 criteria for severe *falciparum* malaria, as previously described [46]. Pre-treatment blood slides were obtained on enrolment and read by an experienced research microscopist, with parasite counts per microlitre reported [48].

### PCR amplification and sequencing of *pkdhfr* and *pfdhfr*

DNA was extracted from 100  $\mu$ L of packed red blood cell pellets using the QIAamp<sup>®</sup> DNA Blood Kit (Qiagen, Australia) according to the manufacturer's instructions. *Plasmodium* species were confirmed as described previously [49,50]. A nested PCR approach using the same assay and cycling conditions for both primary and nested PCR was used to amplify *pfdhfr* and *pkdhfr* (i.e., 95°C for 3 min, followed by 25 cycles of 95°C for 30 sec, 52°C for 90 sec, and 72°C for 90 sec). The primary PCR was performed in a 50  $\mu$ L reaction buffer volume, the nested PCR in a 100  $\mu$ L reaction buffer volume containing: 200  $\mu$ M each deoxyribonucleotide triphosphate (dNTP; Bioline, Australia), 3 mM MgCl<sub>2</sub>, (Qiagen, Australia), 0.05U/  $\mu$ L Taq polymerase (Qiagen, Australia), and 200 nM each primer pair (Life Technologies, Australia): a) *pfdhfr* primary reaction: *pfdhfr*-p-fw [5'-TTTATGATGGAACAAGTCTGC-3'] and *pfdhfr*-p-rev [5'-taaatgataaaatccaatgttat-3']; b) *pfdhfr* nested reaction: *pfdhfr*-n-fw [5'-acaagtctgcgacgtttc

gatatttatg-3') and *pkdhfr*-n-rev [5'-agtatatacatcgctaacaga-3']; c) *pkdhfr* primary reaction: *pkdhfr*-p-fw [5'-TTATGGAACAAGTTTCCGACG-3'] and *pkdhfr*-p-rev [5'-TAAATCA CAAAGTCCAGAGTGGTGCCC-3']; d) *pkdhfr* nested reaction: *pkdhfr*-n-fw [5'-TTT CCGACGTTTTTCGATATTTACGC-3'] and *pkdhfr*-n-rev [5'-GTTGTACACCTCGCT GACCGA-3']. The primary PCR was run using 2.5  $\mu$ L DNA; 5  $\mu$ L primary PCR was used in the nested PCR reaction. DNA sequencing of the nested PCR amplicons was performed by MacroGen (Seoul, Korea) [S1 File]. Sequences were analysed using Chromas Pro software (Technolysium Ltd., Australia) and BioEdit Sequence Alignment Editor[51] against the reference *P. knowlesi* genome[52]. Polyclonal infections, as represented by double peaks in the electropherogram, were observed in 7 infections (1x 34R/L 1x 52L/V, 1x 91P/A, 2x 91P/T, and 2x 149A/V) and categorized as mutant alleles[35].

### DNA sequence diversity and neutrality tests

DnaSP (version 5.10.01) was used to calculate the total number of polymorphic sites, singletons and haplotypes in the *pkdhfr* and *pfdhfr* fragments. Tajima's neutrality test (D test) was also implemented with DnaSP software[53]. The nucleotide diversity at synonymous ( $d_S$ ), non-synonymous ( $d_N$ ), and all nucleotide sites ( $\pi$ ) was calculated using the Nei-Gojobori method[54] with Jukes-Cantor correction[55] as implemented in the MEGA software (version 6.06). The standard error was determined by 1,000 bootstrap replications, and the rates of synonymous versus non-synonymous substitutions were compared using the Z-test of selection (MEGA 6.06).

### 3D *pkdhfr* protein modelling

*Pkdhfr* amino acid sequence was subjected to Basic Local Alignment Search (BLAST)[56], and further alignment was performed using ClustalW[57]. The sequence with maximum identity of 82.5%, *pvdhfr*, (PDB ID: 2BL9) was used as a template for homology modeling using SWISS MODEL server in <http://swissmodel.expasy.org>[58–60]. The constructed model was validated by PROCHECK[61]. The effects of both non-synonymous orthologous and nearby *pkdhfr* point mutations found in the current study on the pyrimethamine drug binding pocket were then evaluated by Autodock Vina[62].

### Mapping of geographic distribution of *pkdhfr* genotypes

All patients with knowlesi malaria enrolled at district sites had their household and central village locations determined using a hand-held global positioning system (GPS; Garmin<sup>TM</sup>; model 62sc) by local research fieldworkers. Patients enrolled at QEH had the central point of their village located and GPS coordinates recorded using Google Earth (version 7.1.2; Landsat images, July 2013), with assistance and corroboration via government public health and 2010 census maps, local fieldworkers, and input from patients where necessary. Final mapping of *pkdhfr* genotypes at village locations was performed using Quantum GIS software (version 2.4.0, [www.qgis.org](http://www.qgis.org)).

### Spatial and temporal clustering

Analysis of spatial, temporal and combined space-time clustering of *pkdhfr* genotypes was performed with SaTScan<sup>TM</sup> software (version 9.2, <http://satscan.org/>), as previously described [60,63]. Data was inputted in a 0/1 event Bernoulli model with a case file including the number of patients with the specific *pkdhfr* genotype of interest at each village location and date, and a control file with the number, location and date of all other *pkdhfr* genotypes (the background

distribution population). This software then uses the Kulldorf spatial[64], temporal or retrospective space-time scan statistic[65] to assess whether the specified *pkdhfr* genotypes are randomly distributed in space and/or time. Both large and small clusters are detected, with up to 50% of the study population allowed in a potential cluster within a maximum 30km radius. The time period for the temporal and space-time analysis was defined as 30 days, based on the average time of parasite maturation in the *An. leucosphyrus* vector (10 days)[66], the human pre-patent period (9–12 days)[17], and median fever duration before presentation (5 days) [46].

## Statistical analysis

Data were analysed using Stata™ (version 12) software. Continuous variables were compared using Student *t* test or Mann-Whitney test depending on distribution, and proportions were compared using Chi squared or Fisher's exact test. The Cuzick's test for trend was used to assess the change in proportion of *pkdhfr* mutations over time, with enrolments at QEH (September 2010 –June 2014) divided into 5 x 9-month time-periods and enrolments at the district hospitals (December 2012 –June 2014) divided into 3 x 6-month time-periods.

## Results

### Study population

From September 2010 –June 2014, 504 patients with PCR-confirmed *P. knowlesi* mono-infection were enrolled at the 3 study sites, with *pkdhfr* sequence data available from 449 (89%) patients. Of those with *pkdhfr* sequence data, 263 (59%) patients were enrolled at QEH and 186 (41%) were enrolled at KDH/KMDH. Baseline demographics are summarised in [Table 1](#). Overall, 78% of patients were male. Median age was 44 years at QEH adult hospital (range 14–94 years) and 30 years at KDH/KMDH (range 1–78 years). At KDH/KMDH, 40 (18%) children <12 years old were enrolled, with sequencing of the *pkdhfr* gene performed on 29 of these patients. From all study sites there were 190 (42%) farmers or plantation workers. Nearly all patients (96%) reported some forest or plantation exposure during the preceding 6 weeks, and 55% reported having seen a monkey during this time. Thirteen patients enrolled into the study at KDH/KMDH were subsequently transferred to QEH because of severe malaria. Sequencing of the *pkdhfr* gene was performed on all of these patients.

### *Pkdhfr* mutations

The 651 bp fragment (spanning codon 1–217 of the *pkdhfr* gene) was sequenced successfully for 449/504 (89%) *P. knowlesi* samples. Seventeen non-synonymous mutations were detected, including 16 monomorphic and 1 polymorphic (T91P/A) mutation, with 145 (32%) patients having one or more mutations ([Table 2](#)). Among these, the most common were R34L and T91P, being detected in 45 (10.0%) and 46 (10.2%) patients, respectively. V149A was detected in 21 (4.7%) patients, and A44T, V52L, T91A, E119V and P129Q were each detected in 6 (1.3%) patients. Among patients with mutations detected, 131 (90%) had a single *pkdhfr* mutation and 14 (10%) had two mutations, with the most common double mutations being R34L-V149A, and T91A-P129Q, both seen in 4 (0.9%) patients, and T91P-D157Y, seen in 3 (0.7%) patients ([Table 3](#)). There were no significant differences in the most common mutations detected between patients enrolled at QEH compared to those enrolled at KDH or KMDH.

**Table 1. Baseline features of patients with *pkdhfr* sequenced.** Data are N (%) unless otherwise indicated. No significant differences ( $p < 0.05$ ) were seen between those with *pkdhfr* mutations and wild type.

| Patient characteristic   | <i>Pkdhfr</i> mutations | <i>Pkdhfr</i> wild-type |
|--|-------------------------|-------------------------|
| n (%) unless otherwise indicated                                       | (n = 145)               | (n = 304)               |
| <b>Male sex</b>  | 113 (78)                | 232 (76)                |
| <b>Age, years</b>  |                         |                         |
| Median (IQR)   | 36 (21–52)              | 41 (26–53)              |
| Range  | 2–83                    | 1–94                    |
| <b>Site of enrolment</b>   |                         |                         |
| QE H   | 81 (56)                 | 182 (60)                |
| KDH and KMH  | 64 (44)                 | 122 (40)                |
| <b>Occupation</b>  |                         |                         |
| Farmer   | 31 (21)                 | 66 (22)                 |
| Plantation worker  | 33 (23)                 | 60 (20)                 |
| Other  | 81 (56)                 | 178 (59)                |
| <b>Previous malaria (self-reported)</b>                                | 75 (51)                 | 135 (44)                |
| <b>Reported forest/plantation exposure in past 6 weeks<sup>#</sup></b> | 138 (95)                | 292 (96)                |
| <b>Seen a monkey in past 4 weeks</b>                                   | 78 (54)                 | 167 (55)                |
| <b>Parasite count (parasites/<math>\mu</math>l)</b>                    |                         |                         |
| Median (IQR)   | 4680 (1363–28069)       | 3751 (688–16810)        |
| <b>Severe malaria</b>  | 30 (21)                 | 57 (19)                 |
| Patient characteristic   | <i>Pkdhfr</i> mutations | <i>Pkdhfr</i> wild-type |
| n (%) unless otherwise indicated                                       | (n = 145)               | (n = 304)               |
| <b>Male sex</b>  | 113 (78)                | 232 (76)                |
| <b>Age, years</b>  |                         |                         |
| Median (IQR)   | 36 (21–52)              | 41 (26–53)              |
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| Other  | 81 (56)                 | 178 (59)                |
| <b>Previous malaria (self-reported)</b>                                | 75 (51)                 | 135 (44)                |
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| <b>Seen a monkey in past 4 weeks</b>                                   | 78 (54)                 | 167 (55)                |
| <b>Parasite count (parasites/<math>\mu</math>l)</b>                    |                         |                         |
| Median (IQR)   | 4680 (1363–28069)       | 3751 (688–16810)        |
| <b>Severe malaria</b>  | 30 (21)                 | 57 (19)                 |

<sup>#</sup> Includes living within 20 minutes' walk of forest/plantation, or having spent >4 hours in a forest/plantation

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### *Pfdhfr* mutations

The 613 bp fragment (spanning codon 1–204 of the *pfdhfr* gene) was successfully sequenced for 47/48 (98%) randomly selected *P. falciparum* positive samples from patients enrolled at QE H. Four non-synonymous, monomorphic mutations, known to be associated with pyrimethamine resistance (i.e., S108N, C59R, N51I, and I164L) were detected: Whereas the double mutant 108N+59R was fixed in the *P. falciparum* population, the triple mutants

**Table 2. *Pkdhfr* mutations among patients enrolled at Queen Elizabeth Hospital, and Kudat and Kota Marudu District Hospitals with knowlesi malaria.**

| Amino acid position | Allelic variants | No. (%) of isolates from QEHT <sup>†</sup> | No. (%) of isolates from Kudat/KM | Total      |
|---------------------|------------------|--|-----------------------------------|------------|
|                     |                  | (n = 263)                                  | (n = 186)                         | (n = 449)  |
| 24                  | D (wild type)    | 262 (99.6)                                 | 186 (100)                         | 448 (99.8) |
|                     | Y (mutant)       | 1 (0.4)                                    | 0                                 | 1 (0.2)    |
| 29                  | E (wild type)    | 262 (99.6)                                 | 186 (100)                         | 448 (99.8) |
|                     | K (mutant)       | 1 (0.4)                                    | 0                                 | 1 (0.2)    |
| 34                  | R (wild type)    | 232 (88.2)                                 | 172 (92.5)                        | 404 (90.0) |
|                     | L (mutant)*      | 31 (11.8)                                  | 14 (7.5)                          | 45 (10.0)  |
| 44                  | A (wild type)    | 262 (99.6)                                 | 181 (97.3)                        | 443 (98.7) |
|                     | T (mutant)       | 1 (0.4)                                    | 5 (2.7)                           | 6 (1.3)    |
| 52                  | V (wild type)    | 259 (98.5)                                 | 184 (98.9)                        | 443 (98.7) |
|                     | L (mutant)       | 4 (1.5)                                    | 2 (1.1)                           | 6 (1.3)    |
| 77                  | E (wild type)    | 262 (99.6)                                 | 185 (99.5)                        | 373 (99.4) |
|                     | G (mutant)       | 1 (0.4)                                    | 1 (0.5)                           | 2 (0.6)    |
| 91                  | T (wild type)    | 239 (90.9)                                 | 157 (84.4)                        | 396 (88.2) |
|                     | P (mutant)*      | 21 (8.0)                                   | 25 (13.4)                         | 46 (10.2)  |
|                     | A (mutant)       | 3 (1.1)                                    | 3 (1.6)                           | 6 (2.4)    |
|                     | P/A (mutant)     | 0 (0)                                      | 1 (0.5)                           | 1 (0.2)    |
| 92                  | H (wild type)    | 259 (98.5)                                 | 185 (99.5)                        | 444 (98.9) |
|                     | Q (mutant)       | 4 (1.5)                                    | 1 (0.5)                           | 5 (1.1)    |
| 108                 | Q (wild type)    | 262 (99.6)                                 | 186 (100)                         | 448 (99.8) |
|                     | H (mutant)       | 1 (0.4)                                    | 0                                 | 1 (0.2)    |
| 119                 | E (wild type)    | 257 (97.7)                                 | 186 (100)                         | 443 (98.7) |
|                     | V (mutant)       | 6 (2.3)                                    | 0                                 | 6 (1.3)    |
| 129                 | P (wild type)    | 259 (98.5)                                 | 184 (98.9)                        | 443 (98.7) |
|                     | Q (mutant)       | 4 (1.5)                                    | 2 (1.1)                           | 6 (1.3)    |
| 149                 | V (wild type)    | 256 (97.3)                                 | 172 (92.5)                        | 428 (95.3) |
|                     | A (mutant)*      | 7 (2.7)                                    | 14 (7.5)                          | 21 (4.7)   |
| 157                 | D (wild type)    | 260 (98.9)                                 | 184 (98.9)                        | 444 (98.9) |
|                     | Y (mutant)       | 2 (0.8)                                    | 2 (1.1)                           | 4 (0.9)    |
|                     | N (mutant)       | 1 (0.4)                                    | 0                                 | 1 (0.2)    |
| 160                 | L (wild type)    | 263 (100)                                  | 185 (99.5)                        | 448 (99.8) |
|                     | F (mutant)       | 0 (0)                                      | 1 (0.5)                           | 1 (0.2)    |

\*The most common mutations (prevalence >4%)

<sup>†</sup>Excludes the 13 patients enrolled into the study at KDH/KMDH and subsequently transferred to QEHT.

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108N+59R+51I and 108N+59R+164L were detected in 2/47 (4%) and 4/47 (8%) of *P. falciparum* infections, respectively.

### DNA sequence diversity and tests of neutrality

After excluding sequences with missing data, a total of 446 *pkdhfr* sequences and 45 *pfdhfr* sequences were available for analysis of sequence diversity. High levels of diversity were observed across the *pkdhfr* fragment, with 113 sites displaying evidence of single nucleotide variation within Sabah (Table 4). A total of 188 haplotypes were observed. The overall haplotype diversity (Hd) and nucleotide diversity ( $\pi$ ) was estimated to be 0.983 and 0.006. The

**Table 3. *Pkdhfr* genotypes among patients enrolled at QEH, Kudat District Hospital and Kota Marudu District Hospital.**

| Genotype     | Frequency  | Percent       |
|--------------|------------|---------------|
| Wild Type*   | 304        | 67.71         |
| T91P         | 42         | 9.35          |
| R34L         | 40         | 8.91          |
| V149A        | 17         | 3.79          |
| A44T         | 6          | 1.34          |
| E119V        | 6          | 1.34          |
| H92Q         | 5          | 1.11          |
| V52L         | 5          | 1.11          |
| R34L, V149A  | 4          | 0.89          |
| T91A, P129Q  | 4          | 0.89          |
| T91P, D157Y* | 3          | 0.67          |
| E77G         | 2          | 0.45          |
| T91A         | 2          | 0.45          |
| T91P/A       | 1          | 0.22          |
| D157Y*       | 1          | 0.22          |
| D24Y         | 1          | 0.22          |
| E29K         | 1          | 0.22          |
| L160F        | 1          | 0.22          |
| P129Q        | 1          | 0.22          |
| R34L, D157N* | 1          | 0.22          |
| T91P, P129Q  | 1          | 0.22          |
| V52L, Q108H  | 1          | 0.22          |
| <b>Total</b> | <b>449</b> | <b>100.00</b> |

\*Indicate genotypes for which corresponding protein structures were not modelled

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pairwise nucleotide diversity was significantly higher at synonymous ( $dS = 0.018$ ) versus non-synonymous ( $dN = 0.003$ ) sites ( $p = 0.007$ ). The Tajima's  $D$  value for the *pkdhfr* region was  $-2.24$  ( $p < 0.001$ ), reflecting an excess of low frequency polymorphism relative to expectation.

In marked contrast to *pkdhfr*, only 2 *pfdhfr* sites displayed evidence of polymorphism within Sabah (108N and 59R were fixed in the population), both representing non-synonymous variants ( $dN = 0.001$  and  $dS = 0$ ). A total of 3 haplotypes were observed, with accordingly low haplotype diversity ( $Hd = 0.244$ ). Tajima's  $D$  value was  $-0.820$  but the result was not significant, likely reflecting the limited informative variation.

**Table 4. DNA sequence diversity in *pkdhfr* and *pfdhfr*.**

| Sequence      | Residues | S <sup>1</sup> | H <sup>2</sup> | Hd <sup>3</sup> (s.d) | $\pi$ <sup>4</sup> (s.e) | $dN$ <sup>5</sup> (s.e) | $dS$ <sup>6</sup> (s.e) | $dN/dS$ | Tajima's $D$            |
|---------------|----------|----------------|----------------|-----------------------|--------------------------|-------------------------|-------------------------|---------|-------------------------|
| <i>pkdhfr</i> | 1–649    | 113            | 188            | 0.983 (0.002)         | 0.006 (0.001)            | 0.003 (0.001)           | 0.018 (0.006)           | 0.167   | $-2.24$ ( $P < 0.001$ ) |
| <i>pfdhfr</i> | 1–613    | 2              | 3              | 0.244 (0.081)         | 0                        | 0.001 (0)               | 0                       | -       | $-0.82$ ( $P > 0.05$ )  |

<sup>1</sup> Number of polymorphic sites.

<sup>2</sup> Number of haplotypes (standard deviation).

<sup>3</sup> Haplotype diversity (standard error).

<sup>4</sup> Nucleotide diversity across synonymous and non-synonymous sites (standard error).

<sup>5</sup> Nucleotide diversity at non-synonymous sites (standard error).

<sup>6</sup> Nucleotide diversity at synonymous sites (standard error).

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## *Pkdhfr* mutations, parasitemia and risk of severe malaria

There was no association between the presence of any *pkdhfr* mutation and higher parasitaemia or risk of severe disease, including specifically for the most common mutations R34L, T91P or V149A. This included no relationship between those with *pkdhfr* mutations and severe malaria at either QEH (5/16 [31%] vs. 30/111 [27%],  $p = 0.72$ ), or the district sites (7.5% vs. 11.3%,  $p = 0.557$ ).

## Changes in proportion of *pkdhfr* mutations over time

For patients admitted to QEH the study duration (18<sup>th</sup> September 2010 – 20<sup>th</sup> June 2014) was divided into 5 x 9-month time-periods, with mutations detected in 26/92 (28%), 19/68 (28%), 17/44 (39%), 12/44 (27%) and 10/28 (36%) patients, respectively, during these times ( $p = 0.49$ ). There was also no increase over time in the proportion of patients with the 2 most common mutations, R34L and T91P.

## Geographic distribution of *pkdhfr* genotypes

Of all patients with *pkdhfr* sequencing ( $n = 449$ ), 429 (96%) were successfully geo-located, including 138 (95%) patients with *pkdhfr* mutations and 291 (96%) with *pkdhfr* wild-type ([Fig 1](#)). The largest total number of patients mapped with both *pkdhfr* mutations ( $n = 64$ ) and wild-type ( $n = 129$ ) were from Kudat District. However, the highest proportion of *pkdhfr* mutations at a district level occurred in Pitas (10/13; 76%), followed by Ranau (6/8; 75%), and Penampang (11/15; 73%). Three districts did not record any *pkdhfr* mutations, however, these districts all had  $\leq 7$  patients with *pkdhfr* sequencing performed.

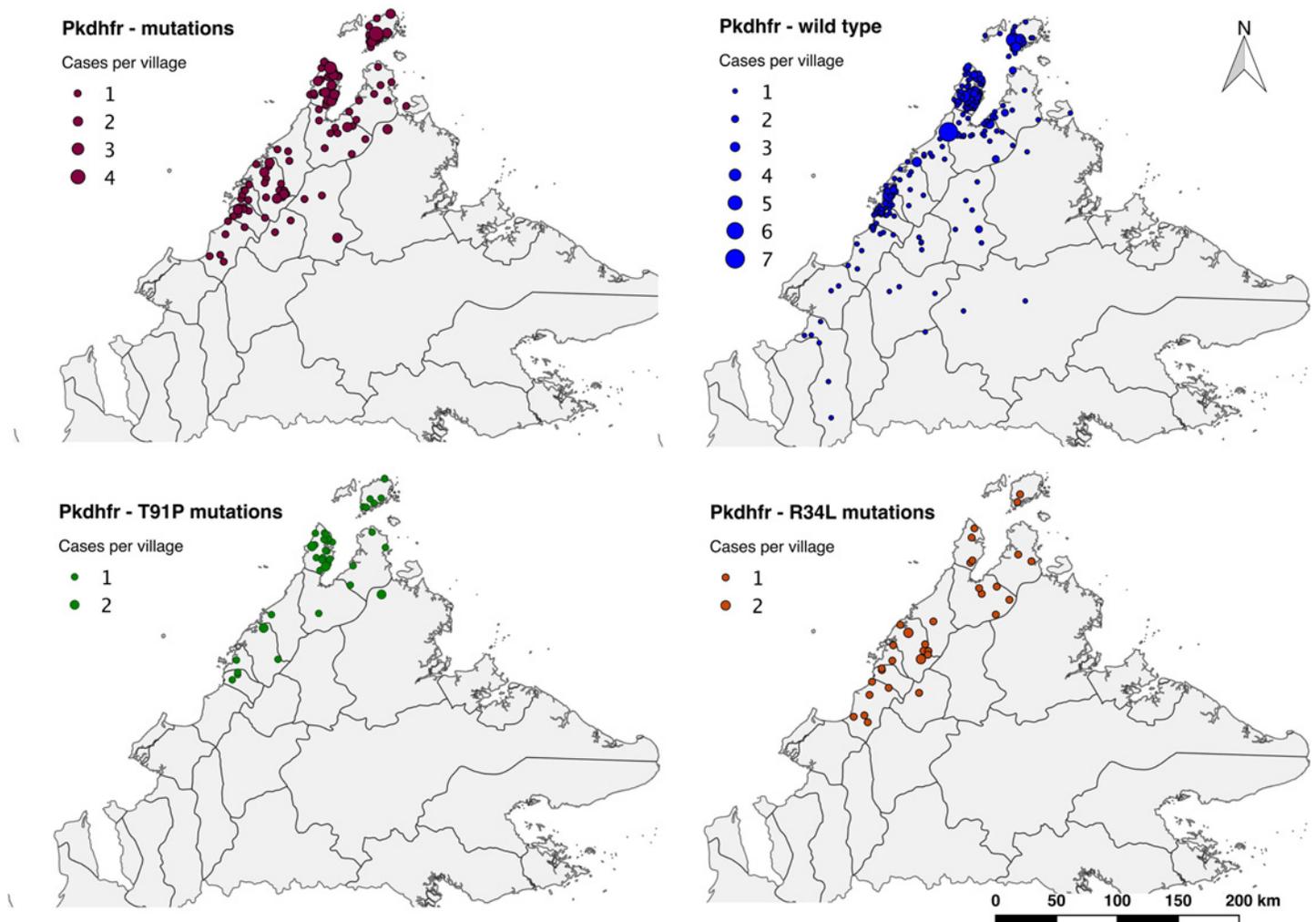
## Spatial and temporal clustering analysis

Of patients with *pkdhfr* mutations, a non-randomly distributed spatial cluster was detected among a subset of those with the R34L mutation ( $n = 9$  [25%]; RR 8.94;  $p = 0.00051$ ) ([Fig 1 and Table 5](#)). In addition, all patients mapped with an E119V mutation were found in a single cluster ( $n = 5$  [100%]; RR > 100;  $p = 0.0017$ ) geographically overlapping with that of the R34L cluster ([Fig 2](#)). None of the other *pkdhfr* mutations were detected in statistically significant spatial clusters, including the most common mutation, T91P (most likely cluster; RR 4.23;  $p = 0.296$ ), or the *pkdhfr* wild-type as an independent group (most likely cluster; RR 1.51;  $p = 0.058$ ).

Cases with a *pkdhfr* E119V mutation were the only group which demonstrated statistically significant clustering on temporal analysis ( $p = 0.009$ ), with no significant temporal association seen for the two most common *pkdhfr* mutations T91P and R34L, or the wild-type. Clusters in both the *pkdhfr* R34L and E119V cases also appeared non-randomly distributed in the combined space-time analysis, with  $p$  values of 0.0075 and <0.0001, respectively.

## Epidemiology of *pkdhfr* E119V mutation cluster

Five patients with the E119V mutation were located within a 29.7 km radius, although all were from different villages. Median age was 49 years (IQR 25–29) and 3 were female ([Table 6](#)). All five patients presented within a 37-day period from January to February 2014, with two females presenting on the same day. Two patients had severe malaria, while the median parasite count for all patients was 5,034/ $\mu$ L (IQR 774–5384). All patients reported recent forest and/or plantation exposure.



**Fig 1. Relative distribution of *pkdhfr* genotypes from a tertiary and district referral hospitals in Sabah (with district administrative borders).** \*Image attached separately. a) All mutations. b) Wild-type. c) T91P mutations. d) R34L mutations.

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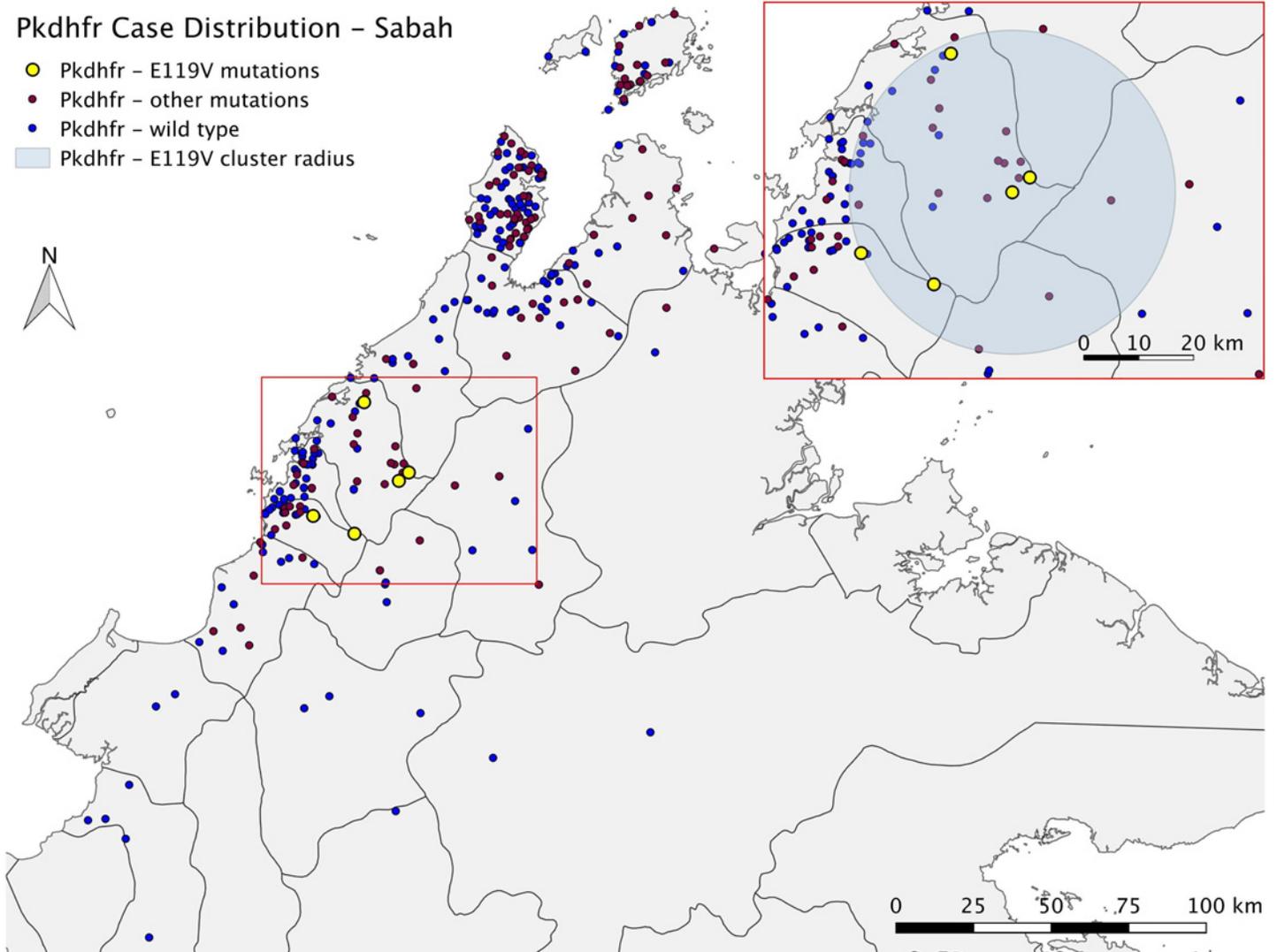
**Table 5. Spatial and temporal analysis—*pkdhfr* E119V and R34L mutation clusters.**

| Mutation                           | E119V         |                   |                   | R34L           |                     |                      |
|------------------------------------|---------------|-------------------|-------------------|----------------|---------------------|----------------------|
|                                    | Spatial       | Space-time        | Temporal          | Spatial        | Space-time          | Temporal             |
| Locations included                 | 32            | 32                | All               | 12             | 19                  | All                  |
| Radius                             | 29.71 km      | 29.71 km          |                   | 16.65km        | 19.97 km            |                      |
| Time frame                         |               | 7/2/2013–3/3/2014 | 3/1/2014–3/3/2014 |                | 20/3/2011–12/5/2012 | 27/4/2011–16/11/2012 |
| Total number of cases              | 41            | 6                 | 36                | 16             | 10                  | 139                  |
| Cases with <i>pkdhfr</i> mutations | 5             | 5                 | 4                 | 9              | 8                   | 19                   |
| Expected number of mutations       | 0.48          | 0.07              | 0.42              | 1.31           | 1.08                | 13.28                |
| Observed / expected                | 10.46         | 71.50             | 9.53              | 6.89           | 7.39                | 1.43                 |
| Relative risk                      | >100          | >100              | 43.67             | 8.94           | 9.44                | 1.98                 |
| Log likelihood ratio               | 12.0283       | 24.5275           | 7.7004            | 13.2176        | 13.9163             | 2.1781               |
| P-value                            | <b>0.0017</b> | <b>0.000007</b>   | <b>0.009</b>      | <b>0.00051</b> | <b>0.0075</b>       | 0.9800               |

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**Pkdhfr Case Distribution – Sabah**

- Pkdhfr – E119V mutations
- Pkdhfr – other mutations
- Pkdhfr – wild type
- Pkdhfr – E119V cluster radius



**Fig 2. Baseline map containing inset with *pkdhfr* E119V mutation spatial cluster.** \*Image attached separately.

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**Table 6. Patient details for *pkdhfr* E119V spatial cluster.**

| Case | Date enrolled | Fever duration | Address       | Age | Sex | Occupation                | Travel details | Lives within 20mins walk of forest and/or plantation | Recent overnight stay in forest and/or plantation |
|------|---------------|----------------|---------------|-----|-----|---------------------------|----------------|--|---|
| 1    | 19/02/2013    | 7              | Babagon       | 49  | M   | Farmer                    | Nil            | Yes  | Yes   |
| 2    | 22/01/2014    | 14             | Pekan Nabalau | 60  | F   | Fruit seller              | Nil            | Yes  | No  |
| 3    | 22/01/2014    | 7              | Nadau         | 25  | F   | Nil                       | Nil            | Yes  | No  |
| 4    | 14/02/2014    | 5              | Togudon       | 22  | M   | Farmer                    | Nil            | No   | Yes   |
| 5    | 28/02/2014    | 3              | Tinuhan       | 59  | F   | Housewife / rubber tapper | Nil            | Yes  | Yes   |

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### Epidemiology of patients within the *pkdhfr* R34L mutation cluster

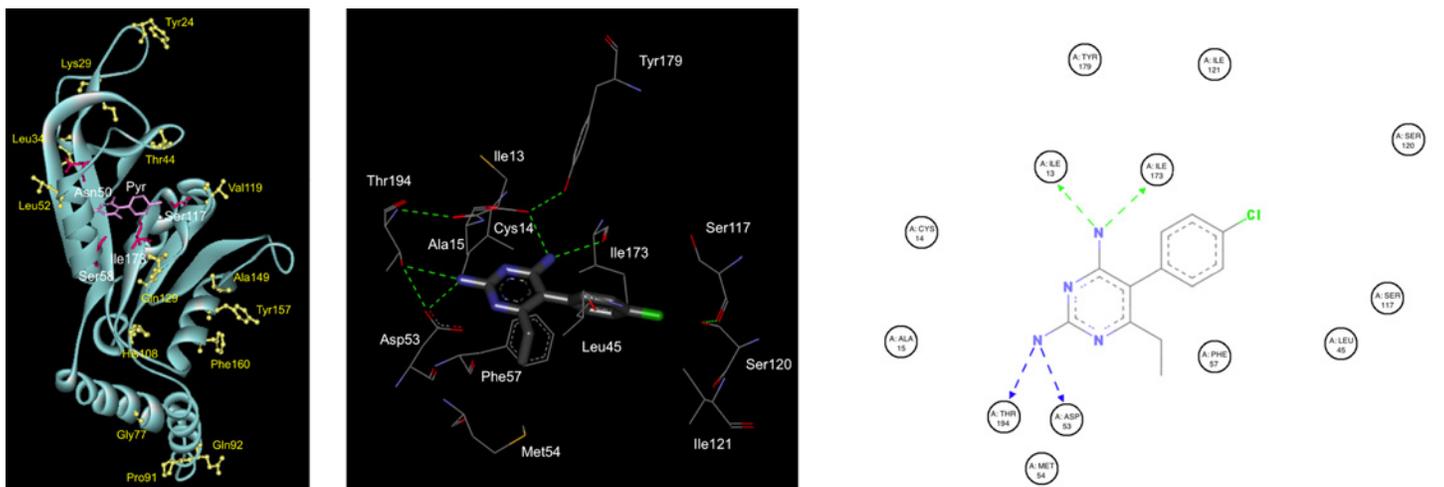
Nine patients with the R34L mutation were located within a 27.4 km radius. Median age was 55 years (IQR 51–68 years), five were male, and all were of either the closely related Dusun or Kadazan ethnicity. One patient had severe disease, with parasitaemia of 693,061/ $\mu$ L. Five reported a previous history of malaria. None were found to be family members, and it is not known if any of these patients had been exposed to one another. Two patients presented to the hospital 34 days apart between June and July 2011, while another three presented within a 44-day period between March and April 2012. All but one reported recent plantation and/or forest exposure.

### Effect of *pkdhfr* mutations on pyrimethamine binding

The 3D structures of 19 *pkdhfr* amino acid sequences derived from patients with a *P. knowlesi* mono-infection were constructed using the SWISS MODEL server. Autodock vina was used to assess the effect of mutations on pyrimethamine binding. This encompassed both *pkdhfr* wild-type and all single monomorphic mutations (with the exception of D157Y), and also all genotypes with multiple mutations (with the exception of R34L-D157N). The results of molecular docking analysis indicated that five residues are in direct contact with the inhibitor pyrimethamine: I13, D53, S120 and I173. None of the mutations detected in this study were involved in inhibitor interactions and therefore, had no modelled effect on pyrimethamine binding (Fig 3).

### Discussion

This study demonstrated a high rate of *pkdhfr* mutations in human knowlesi malaria, including a number of common mutations such as located at R34L, which along with E119V were associated with clusters of cases at adjacent villages. Clinical correlates hypothesized to be more likely with *P. knowlesi* H-H transmitted infections such as a higher likelihood of hyperparasitaemia



**Fig 3. 3D structural model of the *pkdhfr* 91P mutation and molecular docking of pyrimethamine in the active site.** \*Image panel attached separately. a) 3D structural model of *pkdhfr* with pyrimethamine bound at the active site. Mutations found in this study were shown as ball and stick coloured yellow. Equivalent residues of *pkdhfr* known to be related to pyrimethamine resistance in *pfdhfr* were presented as stick coloured dark pink and pyrimethamine shown as stick coloured magenta. b) Modelled *pkdhfr* binding site interaction with pyrimethamine. Pyrimethamine molecule was presented as stick and amino acid residues of *pkdhfr* were presented as line with carbon, nitrogen, oxygen and chloride colored as dark grey, blue, red and green, respectively. Hydrogen bonds are shown as green dashed line. c) 2D ligand interaction diagram of modelled pyrimethamine binding with all surrounding residues in active site of *pkdhfr* showing only contact with Ile13, Asp53, Ile 173 and Thr194. Hydrogen bonds are shown as dashed line. Figure was generated by Discovery Studio Visualizer–Accelrys.

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and severe disease were not related to *pkdhfr* mutations [39,40]. The non-synonymous *pkdhfr* mutations described in this study do not provide evidence of H-H transmission, with homology modelling demonstrating that they are very unlikely to affect pyrimethamine binding and hence, are not likely to be a result of selective antifolate drug pressure.

Antifolate resistance can be selected readily in experimental malarials including simian *P. knowlesi* [67]. The utility of antifolate-resistance associated mutations as a marker of H-H transmission in this study requires both sufficient SP drug exposure in humans to select for resistant *P. knowlesi* parasite populations, and sustained transmission from humans to other human or monkey hosts. In the past *P. knowlesi* was often misdiagnosed as *P. malariae* [3,7], and therefore would have been nominally treated with chloroquine rather than SP according to longstanding Malaysian Ministry of Health guidelines. However, before the current pre-elimination malaria setting in Sabah where hospital admission is mandatory for all malaria cases, fever was frequently treated empirically with single dose SP by public health officials in the community for presumed non-severe malaria. The accumulation of *dhfr* mutations associated with SP-resistance in *P. falciparum* over 30 years of SP usage is well documented in Sabah [31,68,69]. With *P. knowlesi* endemic during the same period and commonly misdiagnosed as *P. falciparum* [3], the parasites infecting humans would have been exposed to some degree of within-host SP selection pressure [5,6]. For this to be selected at a population level would have required onward transmission.

One third of *P. knowlesi* isolates in this study had *pkdhfr* single point mutations, and 3% had double mutations. There were no triple mutants. The proportions of multiple mutants are less than predicted from a Poisson distribution arguing against stepwise selection. In contrast, in *P. falciparum* multiple *pfdhfr* mutations associated with antifolate resistance were found in this study, as previously described in Sabah, indicative of stepwise selection [31,68,69]. In *P. falciparum*, the primary *pfdhfr* mutation acquired in this progression is S108N, and in *P. vivax*, which is more closely related to *P. knowlesi* it is S117N. However, modelling showed residues involved in pyrimethamine binding in *pkdhfr* were located at I13, D53, S120, and I173, none of which were found in their mutant form in this study.

In this study, two *P. knowlesi* genotypes appeared to have non-random spatial distributions. This is consistent with a previous study demonstrating a higher level of genetic relatedness of *P. knowlesi* populations, as measured by the degree of multi-locus allelic frequencies ( $F_{ST}$  indices) from samples collected at different sites within Borneo, with decreasing geographical distance [44]. H-H transmission in these clusters appears unlikely but cannot be definitively excluded. Although the timing of hospital presentation for subsets of individuals in each cluster was consistent with potential exposure to a preceding human case with patent parasitaemia, the presence or duration of subpatent parasitaemia prior to this and hence the infectious period is not fully known. Finer scale clustering with H-H transmission would also be expected, including family or household members from the same village without significant travel history. Nearly all individuals in identified clusters also had forest and/or monkey exposure, and other potentially relevant geo-spatial variables were not controlled for in this analysis, while the relatively small number of samples in this study also limits their interpretation. The use of *P. knowlesi* spatio-temporal clusters alone to evaluate H-H transmission is constrained by the inability to discern whether a human was bitten by a human-fed mosquito, or if a cluster of humans were bitten by mosquitoes which had fed on the same monkey or monkey group. Assessing the possibility of individual level *P. knowlesi* H-H transmission using mutations associated with drug selection pressure, or other potentially better suited methods such as microsatellite markers or mitochondrial sequences, is also complicated by drug-exposed parasite populations in humans conceivably being transferred back to monkey hosts [17]. In this

context comparative analysis of whether the same *P. knowlesi* dhfr mutations are present in nearby macaque hosts would also be useful but was not logistically feasible in this study.

The low level of nucleotide diversity and absence of putatively neutral synonymous variation in the *pfhdfr* region in Sabah is consistent with purifying selection acting upon a gene whose product has an important functional role, allowing little room for variation with the exception of the known pyrimethamine resistance conferring variants observed. In marked contrast, extensive haplotype and nucleotide diversity was observed in the *pkdhfr* region, with significantly higher diversity in synonymous versus non-synonymous sites. These patterns infer that the variation observed in *pkdhfr* might reflect neutral, stochastic processes, particularly within the monkey hosts where polyclonal infections are usual and transmission is intense [44]. Tajima's *D* test also confirmed a significant excess of low frequency variants relative to expectation under neutral evolution consistent with other reports [44,70], potentially reflecting a recent population expansion, but not necessarily excluding purifying selection. A more comprehensive understanding of the genetic diversity across the *P. knowlesi* genome is needed for effective interpretation of the trends observed in *pkdhfr*. In addition, further analysis of the patterns of variation in *dhfr* in a broader range of human and simian *Plasmodium* species from settings with varying epidemiology and history of pyrimethamine use are required to elucidate the selective forces on *dhfr* in different *Plasmodium* spp.

## Conclusion

Despite an increasing incidence of *P. knowlesi* malaria in Sabah, Malaysia, the non-synonymous *pkdhr* mutations reported in this study do not appear to have been selected by repeated pyrimethamine exposure associated with competent onward transmission from humans, and hence cannot confirm H-H transmission. Despite these findings, low or unsustained H-H transmission cannot be excluded. In addition to establishing the transmission competency of naturally acquired *P. knowlesi* from human hosts, monitoring for spatio-temporal clusters of identical multi-locus genotypes and more detailed genome-wide studies may provide useful information on the likelihood of H-H transmission occurring.

## Supporting Information

**S1 File. *P. knowlesi* DHFR sequence data.**  
(TXT)

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## Author Contributions

Conceived and designed the experiments: MJG BEB JM MI TW JC NJW TWY SA NMA. Performed the experiments: KP AA JM MI UB SA MJG EB. Analyzed the data: MJG BEB JM SA MI UB NJW NMA. Contributed reagents/materials/analysis tools: TW CJD JC MI UB NJW NMA. Wrote the paper: MJG BEB JM MI UB NJW TW CJD JC QC TWY SA NMA.

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