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1 **Geomorphological evolution of the Rimac River's Alluvial Fan,**
2 **Lima, Peru**

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20 Running title: Geomorphological Evolution of the Rimac River Alluvial Fan, Lima, Peru

21 – End of title page –

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ABSTRACT: The alluvial fan of Lima is a complex landform, resulting from the sediment contributions of the Rimac River and the coalescence of the alluvial fans of the tributaries of the Rimac River. Depositional zones in the fan and changing main channel and distributary channels are influenced by the palaeo-relief inherited from a semi-arid climate and by the climatic changes. The upper sedimentary sequence of the fan, dominant on the Costa Verde, is of Holocene age and was deposited about 4,000 years ago. The sediments forming it are non-cohesive and are highly mobile during floods and earthquakes. The dominant features in this sequence, intertwined channel facies and, laminar flows, were, influenced by the Holocene postglacial marine transgression. A deeper understanding of the evolution of the Lima alluvial fan provides insight in to the fan’s future evolution in the framework of active tectonics and climate change. The Lima fan is an area with high human population density and is subjected to floods and debris flows resulting in subsequent loss of human life and properties. Therefore, the improved understanding of the fan’s evolution, resulting from this study, will contribute to a better definition of high risk areas of potential human disaster caused by these natural processes. Cyclic fan development, presently controlled by glacial sea level lows and palaeo-topography will continue regards of human intervention in attempts to prevent natural disasters in Lima.

Key words: Holocene; Andean tectonics; marine transgression; climate change; geomorphology

– End of Abstract and Key word page –

47 **1. INTRODUCTION**

48

49 Alluvial fans occur at the change in grade between lowlands and highlands (Birch et
50 al., 2016). The Rimac River alluvial fan is located in the central and western part of Peru where
51 the Rimac River emerges from its fault-controlled alignment in the steep Andes onto the low
52 lying coastal plain. Metropolitan Lima is located on the alluvial fan and almost 31% of the
53 Peruvian human population live in this region (INEI, 2014) where earthquakes, floods and
54 landslides have resulted in large losses of human life. Lima's alluvial fan is derived from the
55 Rimac and Chillón Rivers. The Rimac River basin has a surface area of 3,300 km², and a length
56 of about 160 km. Its headwaters rise in the highest peaks of the Western Cordillera of the
57 central Andes, about 5,000 meters above sea level (figure 1).

58

59

60 *Figure 1. is about here.*

61

62 In Peru, the continuous movement of the Nazca Plate resulted in subsidence and a
63 marine transgression during the Pleistocene about 1.7 M.a. followed by uplift and cyclic marine
64 regression/transgression (Le Roux et al., 2000).

65

66 In order to explain the succession of events resulting in the formation of the present
67 day alluvial fan, the convergence of multiple factors must be understood. The main alluvial fan
68 depositional controls in tectonically active regions are topographical relief and structural
69 geology as confirmed in several studies (Steel et al., 1977; Heward, 1978; Rockwell et al.,
70 1985; Nichols, 1987; Harvey, 1989; Casas, 1995). Substrate lithology of the topographic relief

71 is another significant determinant of alluvial fan morphology (Colombo, 2010). Large alluvial
72 fans reflect continuous sedimentation processes contributed from smaller systems,
73 characteristic of many mountain environments (Saito and Oguchi, 2005; Brunsten et al., 1981).

74

75 The evolution of the Lima fan is well described from the Pliocene to the mid–
76 Pleistocene (Le Roux et al., 2000). It is likely that tectonic uplift of 17 Ma BP and following
77 cyclic eustatic sea level changes have set the template for fan morphological changes during
78 the Late-Pleistocene and Holocene. Therefor this study focuses on evolution of the fan from
79 Mid-Holocene to present. Based on this the objectives of the study are: 1) to confirm the main
80 role of the Andean tectonics in the configuration of the Fan of Lima; 2) to establish the role of
81 alluvial processes in the basin history and 3) assign chronology to the temporal evolution of
82 the fan.

83

84

85 **2. THE STUDY AREA**

86

87 **2.1. Geology**

88

89 The most important post-orogenic unit that appears in the substrate of the fan is
90 represented by the clastic and volcanic deposits of a series of extensional and transtensional
91 basins in the Cretaceous (Palacios et al., 1992; Aleman, 2006). The basal stratigraphic column
92 unit of the Lima's alluvial fan substrate, comprises pyroclasts, volcanic breccias and lavas from
93 the Puente Piedra Group; sandstone and shale of the Morro Solar Group; as well as calcareous
94 limestones, marls and calcareous limolites of the Lima Group. This sedimentary sequence
95 represents continental and marine sequence occurring in the chrono-stratigraphic interval of
96 the Upper Jurassic-Lower Cretaceous. The sequence concludes with pyroclastic breccias from
97 the Chilca Formation of Upper Cretaceous age. Post-sedimentary intrusions of the plutonic
98 complexes of Coastal Batholith of Peru with ages between 84 and 102 million years are
99 observed within the Lima City region (Ramos and Aleman, 2000; Scherrenberg et al., 2014).

100

101 Overlaying basal units are sediments of gravitational, alluvial and aeolian origin. The
102 pre-existing valleys were probably infilled by these deposits between the late Miocene and early
103 Pliocene (Palacios et al., 1992). In this sense, the facies of the Lima Alluvial Fan suggest
104 deposition in a high-energy environment with cross-linked channels that rapidly became
105 avulsive (Le Roux et al., 2000; Villacorta et al., 2015). Palaeo-drainage systems are visible in
106 the sedimentary sequence in exposed cliff faces of the Costa Verde. These palaeo-drainage
107 systems incised pre-existing basin fill. The influence of Andean tectonics in the central part of
108 South America is well known (Dollfus 1966; Lecarpentier and Motti 1968; Megard 1979 et al.,

109 1980; Cobbing, 1982). Geophysical studies have shown that material deposited by the main
110 channels of the fan reaches hundreds of meters of thickness (Arce, 1984). The thickest
111 sediments are in the palaeovalleys of the Rimac and Chillón River. These sediments are episodic
112 flood deposits. According to some authors, the sedimentation of conglomerates in the Fan could
113 represent the fluvial reworking of glacial moraines (Le Roux, 2000; Aleman et al., 2006;
114 Villacorta et al., 2015).

115

116 The longest fault mapped in the area is 24 km long, inferred from a regional lineament
117 with a NE-SW direction, observed to the east of Comas (figure 2). The smaller deformation
118 structures (between 2 and 20 km in length) and are also inferred from parallel NE-SE
119 lineaments east of Villa El Salvador and towards Manchay (Macharé et al., 2009). Other
120 structural lineaments with predominant NW-SE, E-W and N-S directions exist between
121 Ancon and Rimac, Pachacamac, and Lurín and in San Bartolo Rivers respectively (Villacorta
122 et al., 2015). Some authors consider that subsidence was continuous during the sedimentation
123 on the alluvial fan and that the faults in the Lima area are still active (Jacay et al., 2000; Jacay,
124 2013).

125

126 *Figure 2. is about here.*

127

128

129 **2.2. Palaeoclimatic Regime of Central Peru**

130

131 From the 1970s, there was great debate regarding the occurrence of climate change in
132 Peru during the recent Quaternary, specifically in the early Holocene. Parsons (1970) and Craig
133 (1985), based on geological, geomorphological and palaeoecological data, considered that
134 climatic change in the region was minor and that the region along the Peruvian coast has
135 remained arid during most of the Quaternary. Richardson (1978) and Dollfus and Lavallee
136 (1973) using data from Southwest Ecuadorian Piedmont considered that the climate had been
137 much more humid towards the end of the Pleistocene and during the first half of the Holocene.

138

139 The location of the Central Andes within large atmospheric circulation systems
140 explains their climate sensitivity and makes this mountain region a key site for palaeo-climatic
141 reconstruction (Kanner et al., 2013) because they modulate atmospheric circulation at meso-
142 planetary and planetary scales (Seluchi, et al., 2006).

143

144 The variability of the climate system over the Central Andes, to a large degree, is due
145 the frequency and intensity of the El Niño-Southern Oscillation (ENSO); to the surface
146 temperatures of the tropical Pacific and the Atlantic and, according to Perry et al. (2014), other
147 factors that are still unknown.

148

149 The largest upwelling system in the world, which has a cooling effect on ocean waters
150 along the Pacific west coast of Peru and neighbouring countries influencing aridity (Alfaro et
151 al., 1998; Chavez et al., 2008). Some researchers have assumed that, during glacial periods, the
152 Humboldt Current was strengthened and extended further north than at present (Simpson, 1975;

153 Simpson et al., 1978; Webb, 1978). Other authors have proposed that, during the postglacial
154 period at the end of Pleistocene and early Holocene, it was weakened and diverted to a more
155 western and northern position (Chauchat, 1987; Uceda, 1986). According to DeVries (1997),
156 it seems unlikely that the Eastern Pacific circulation system has undergone major changes
157 during the latest climate fluctuations. The available data on the faunistic composition of the
158 raised marine terraces, locally known as "tablazos" of the Peruvian Northwest (DeVries, 1988)
159 suggest that the biogeographic limit that is currently observed near Paita has remained at least
160 during the interglacial stages of the last million years. However, temporal variations in the
161 Humboldt Current can't be dismissed, especially during glacial-interglacial transitions (Ortlieb
162 and Macharé, 1989).

163

164 According to their geomorphological studies in Asia, Cañete (south of Lima) and the
165 Chillón river (north of Lima), Dollfus (1964) and Sébrier and Macharé (1980) describe
166 different levels of alluvial terraces associated with interglacial periods in Central Peru (figure
167 3). These researchers also point out that the presence of wind forms in the cones of sporadic
168 detrital flows indicates that, despite increased rainfall, the prevailing conditions resulted from
169 an arid desert. The study of the oldest deposits (Cañete Formation in that area) suggests an
170 identical conclusion, that is to say, arid/desert condition persisted throughout the Quaternary.
171 During the latter part of the Quaternary, sea level changes have impacted sedimentation in
172 marine embayments globally (Evans, et al., 1992). Cyclic periods of glaciation during the
173 Quaternary have resulted in eustatic adjustments to sea level. Superimposed on eustatic sea
174 level change are local tectonic, hydro-isostatic adjustment, and climatic factors which adjust
175 sea level locally (Thom and Chappell, 1978; Chappell 1983). Since 500 ka BP there have been
176 possibly 5 major global postglacial sea level transgressions (Rohling et al., 1998) preceded by

177 glacial sea levels dropping to approximately 150 m lower than present. From the sea level
178 curve of Rohling et al. (1998), the most significant of these cycles commenced at about 185 ka
179 BP when at the end of the interglacial highstand sea level began to regress over a period of 50
180 ka to a sea level of about -150 m. There was then a rapid postglacial transgression over 2 ka to
181 short highstand at a sea level similar to the present for a relatively short period of 1ka this was
182 then followed by a long slow regression of about 100 ka to about -120 m at 18 ka BP During
183 these periods of sea level regression the loci of deposition for rivers debouching to the sea
184 moved in a seaward direction. Therefore, these rivers incised the subaerially exposed sea floor
185 creating valleys and increasing their length. During subsequent sea level transgression during
186 interglacial period, the valleys were infilled (Evans, 1990). These periods of incision and
187 deposition would have had a large influence on development of rivers on the Peruvian coast
188 and Le Roux et al., (2000) considered they were the major determinant in developing the
189 morphology of the Rimac River. However, based on rainfall levels, the region remained an arid
190 desert during these periods (Sébrier and Macharé, 1980).

191

192 *Figure 3. is about here.*

193

194 A palaeoclimate record, derived using stable carbon isotope analysis and the $\delta^{13}C$ and
195 $\delta^{15}N$ ratios in *Distichia* peat cores extracted from the Nevado Mismi (Arequipa, southern Peru),
196 indicate that relatively warm and humid conditions prevailed in the Andes of southern Peru
197 from 4.3 to 3 ka BP with a short dry, cooler episode at about 3.8 ka BP (Engel et al., 2014).
198 These researchers also identified subsequent climate change between 3 and 2.8 ka BP when
199 initial heating became rapid cooling to temperatures of at least 2° C below the mean for the
200 present. They also indicate that during this period the humidity increased until about 800 a BP

201 when the conditions became relatively dry until a warm and relatively humid period between
202 640 and 155 a BP occurred.

203

204 The temporal-spatial patterns of Holocene glaciation show ice advancement from the
205 early and mid-Holocene in many regions. However, this may not have occurred in the arid
206 subtropical Andes where records indicate that moraines were deposited during the LIA marking
207 the maximum advance of Holocene glaciers (Rodbell et al., 2009).

208

209 According to Ortlieb and Macharé (1980), development of desert alluvial cones
210 required more frequent higher-intensity rainfall than occurs today. These rainfall regimes
211 should have been present between the end of each glacial period and the subsequent
212 transgressive interglacial maximum. The period of deglaciation (transition from glacial to
213 interglacial) represents a time of climate instability that favours a weakening of the Pacific
214 anticyclonic zone and therefore occurrences of rainfall in the desert (Betancourt et al., 2000).
215 This event would have occurred between 10,000 (end of the last glaciation) and 6,000 ka BP
216 (transgressive maximum of the Holocene).

217 With respect to sea level transgression at the beginning of Holocene, Dollfus (1966)
218 describes two Holocene marine levels on the Coast of Central Peru: 1) the oldest corresponds
219 to a transgression of 4 to 5 m above the current sea level; and 2) the most recent was at a sea
220 level of 2 m above its current level.

221

222 The oldest corresponds to the maximum sea level transgression after the most
223 recent glaciation period which corresponds to the lower Veguian defined by Paskoff, (1970) in
224 the North of Chile and dated to 4 ka BC. In figure 3, the set of Holocene levels is set as Tm1.

225 This marine transgression is represented by: abandoned coastal barrier, cliffs, reefs and fossils
226 (Ortlieb and Macharé, 1989).
227

228 **3. METHODOLOGY AND DATA**

229

230 Achieving the objectives of this study required an evaluation of: the spatial
231 distribution of the alluvial fan; its relationship with the structural geology of the underlying
232 substrates; the sedimentation history of the fan and the reconstructed palaeo-relief of the fan
233 area. This necessitated: geomorphological mapping; geological mapping; flood zone and debris
234 flow mapping using dating, remotely-sensed data, and digital elevation model (DEM)
235 construction.

236

237 Geomorphological maps were constructed at a scale of 1:10,000, using a GIS database
238 and a high resolution DEM based on Pleiades satellite imagery.

239

240 To interpret the relationships between drainage patterns, sediment lithology and
241 substrate geological structure, a new geological map was compiled (Figure 5), based on
242 unpublished geological data provided by the Peruvian Institute of Geology, Mineralogy and
243 Metalurgy (INGEMMET), field mapping, stereoscopic analysis, satellite imagery analysis and
244 morphometric mapping. A new structural map of the study area was developed based on Landsat
245 742 image interpretation, an evaluation of a DEM of the area provided by the National
246 Commission for Aerospace Research and Development (CONIDA), and field work.

247

248 Samples were taken from various areas of fluvial-alluvial deposits that form the fan
249 of Lima for analyze using Optically Stimulated Luminescence (OSL) techniques to determine
250 age of sediments.

251

252

253 **4. RESULTS**

254

255 **4.1. Geological and Geomorphological Mapping**

256

257 The geological and geomorphic mapping identified previously unknown lineaments
258 that are covered by recent deposits and urban expansion. NW-SE lineaments were identified
259 towards the north of the Fan in the Callao district crossing the Rimac River towards San
260 Miguel. Towards the east, several NW-SE alignments (eg, near of Cerro El Agustino, Figure
261 8) were identified along the apex of the Fan. Other lineaments extend in a NE-SW orientation
262 parallel to the upper tributaries of the Rimac River (Canto Grande ravine and Huaycoloro
263 River) flanking the Fan in the east. Two large NEE-SWW lineaments are responsible for the
264 direction of the Rimac River course and a N-S lineament has modified the course of the Rimac
265 River in the vicinity of the Canto Grande district. This mapping is schematically represented
266 in Figure 5.

267

268 The area occupied by the Lima Fan is characterized by the presence of palaeo-
269 channels with infills of heterometric sediments (2-3 cm to 40-50 cm), polymictic clasts (mostly
270 plutonic), and unconsolidated sediments comprising imbricated clasts, sands, silt and clay and
271 fluvial structures. These materials vary in thickness ranging from 10 to 300 m where the
272 thickest deposits overlay Rimac-Chillon palaeo-channel. The Fan covers an area of
273 approximately 216 km², with a characteristic asymmetric triangular shape in a SW direction
274 attributed to the tilting related to the subduction activity of the Nazca plate under the South

275 American plate (Aleman, Benavides, and León, 2006; Le Roux et al., 2000); and it is truncated
276 by the effect of the marine action, where cliffs are observed.

277

278 The apex of the Fan is located in the foothills of the Western Cordillera, near the town
279 of Ate (figures 7 and 9) about 20 km from the coast. The distal zone is truncated by the effect
280 of the marine action, giving rise to the Costa Verde cliffs between 30 and 90 m high (figure 7
281 and figure 12-1). The cliffs of the Lima Fan (Costa Verde cliffs, figure 9a), extend for about
282 21 km along the coastal strip with altitudes in the order of 80 m MSL in its central area
283 (Miraflores and Larcomar areas).

284

285

286 *Figure 4. is about here*

287

288 *Figure 5. is about here*

289

290 Between El Agustino and Santa Anita districts, the Fan sediments are interrupted by
291 the cropping out of sedimentary rocks of the Lima Group as seen in El Agustino, El Pino and
292 La Atarjea hills (Figure 6). In this area the Lima Group crops out as intrusive inselbergs with
293 corestones in progress.

294

295 In Metropolitan Lima, 6 levels of terraces have been identified whose development
296 has been limited to a narrow border along the Rimac River (profile AA ', figure 8 and 13). In
297 the south (in the areas of San Juan de Miraflores, Villa El Salvador and Chorrillos) there are
298 alluvial glaciers, sandy deposits forming dunes and eolian flatness (figure 6).

299

300 **4.2. OSL Dating**

301

302 Nine samples were collected (Table 1 and Figure 11) for OSL dating. The ages
303 determined using OSL techniques range from 5.8 to 1.1 ka BP indicating deposition on that
304 part of the fan studied occurred during the Holocene.

305

306 *Table 1. Calculated age and OSL dating parameters*

307

308

309

310 *Figure 6.. is about here.*

311

312

313 *Figure 7. is about here*

314 **5. MID- TO LATE-HOLOCENE EVOLUTION OF LIMA FAN**

315

316 The evolution of the present day alluvial fan was controlled by palaeo-topography and
317 structural geology. Originally, the Rimac River course had a NE-SW alignment near the
318 southern boundary of the present-day fan with its mouth at Morro Solar. The river has migrated
319 in a northerly direction aggrading the fan to the south as it migrated to its present-day position
320 with an E-W alignment. There are several palaeo-river channels to the south of the present-day
321 Rimac River channel. These are the Surco River, Huatica River, Magdalena River, Maranga
322 River and la Legua River. These different stages of Rimac River channel were abandoned as

323 the Rimac River migrated to the north. These rivers are now present as palaeo-channel infill in
324 the southern fan and covered by urbanisation. The southern surface area of the fan was a
325 developing erosion glacia but is now also covered by urbanisation. The depositional pattern of
326 the alluvial fan is very complex and, based on geophysical data, the morpho-structural
327 regionalization and the various lineaments found, is categorised into ten stages of development
328 and formation (figure 7 and 10).

329

330 **5.1. 1st Stage - Qf0 and Qf1**

331 During the first stage of evolution (Figure 10[1st]), Qf0 and Qf1 sediments were
332 deposited in the southern part of the fan controlled by fault strike direction and outcropping
333 indurated Cretaceous sediments and Eocene-Miocene intrusives along the southern boundary
334 (figure 6). Deposition occurred during the sea level regression from 6 to 3.5 ka B.P. described
335 by Wells (1996). The banks were aggraded to the north and south of the Rimac River stage 1
336 palaeo-channel and the Rimac's mouth was to the SE at Morro Solar (figure 8) near where the
337 Surco River palaeo-channel debouched to the Pacific Ocean. Qf0 (RE1) was sampled at the
338 southern edge of the fan at the sea cliff at a depth of 15.6 m and with an age of 4.92 ± 0.18 ka.
339 Qf1 was sampled at RE2 and RE3 near to the Qf0 (RE1) site, both at depths of 14.6 m and
340 were dated as 3.98 ± 0.29 ka and 4.02 ± 0.18 ka respectively (figure 8). About 4 km to the north
341 of the RE sites at Miraflores district, Qf1 was sample at site MII at a depth of 9.6 m and were
342 4.13 ± 0.25 ka BP Qf1 comprises fluvial sand (quartz and feldspar sands with silts) and is
343 exposed as river terrace 8 (T8) (figure 8 and 10) near the Regatas Club (Chorrillos district).
344 The stage 1 Rimac River/Surco River palaeo-channel is show in figure 10.

345

346 **5.2. 2nd Stage – Qf2**

347 Stage 2 is shown in (Figure 10[2nd]). Qf2 sediments were sampled at site MI2 at
348 Miraflores near the Qf1 sampling site, MI1. The sampling depth at the sea cliffs was 11.2 m
349 compared with the 9.6 m depth of the Qf1 MI1 sample. The date of the Qf2 (M2) sample was
350 5.08 ± 0.26 ka BP compared with 4.13 ± 0.25 ka BP for Qf1 (MI1) a difference of nearly 1000
351 y. In this location Qf2 sediments are not only older but deeper in the profile than Qf1. This may
352 indicate deposition of Qf2, in this area, contemporaneously with Qf0 (depth=15.6 m,
353 age= 4.92 ± 0.18 ka BP) sediment deposition in the south through the Surco River palaeo-
354 channel but from different sources and then Qf1 being deposited from the south, over Qf0, to
355 the north to a position higher in the column and younger than QF2 at the MI sites.

356

357 At sites MA1 and MA2, about 6 km north of the MI sites at Magdalena, Qf2 were
358 sampled at depths of 8.6 m and 8.5 respectively. The ages of these samples were 5.07 ± 0.63
359 ka BP and 5.66 ± 0.24 ka BP In this case MA2 is shallower in the profile but older than sediments
360 MA1. This indicates a river to the north that has deposited overbank sediment to the south i.e.
361 MA2, and as time passed the river incised and reworked the MA2 site sediments depositing
362 younger sediment (MA1) at a level lower than MA2 in the profile.

363

364 Another 4 to 5 km to the north of the MA sampling sites, sediments are the deepest in
365 the study area, 500-600 m depth, near the coast. These sediments progressively thin to the east
366 along the Rimac-Chillon palaeo-channels in abroad and deep palaeo-valley. To the NW and
367 SE, the palaeo-valley sediments thin laterally to the south of the thickest sediments.

368

369 To the north of the fan, Qf2 sediments were deposited by the Rimac River in the east
370 and the Huaycoloro River in the Northeast. To the North of the Rimac River, Qf2 sediments
371 have mixed with sediments from the Canto Grande channel and Chillón River. Qf2 sediments
372 are exposed as river terrace T6 and T7 (figure 8 and 10) at (locations). South of the present-
373 day Rimac River channel, a palaeo-channel occupied and deposited Qf2 sediments in the
374 reason of the thickest sediment and deposited Qf2 sediment to the south eventually meeting
375 Qf1 sediments in the vicinity of the MA sites (figure 13).

376

377 **5.3. 3rd and 4th Stages (4 to 2.5 ka BP)**

378 Deformation of the Qf2 segment by a NW-SE fault exerted structural controls on the
379 course of the Rimac River. As a result, during stage 3, the course of the Rimac River bed
380 migrated to the north, isolating parts of Qf2. Now, occupying the Magdalena River palaeo-
381 channel, Qf3 sediments were aggrading on the left and right bank, reworking Qf2 sediments
382 which were overlain by Qf3 represented by river terrace 5 (T5) (figure 8, 10[3rd]).

383

384 During the 4th stage, the Rimac River channel continued to migrate north to a general
385 SW flow direction truncating and reworking right bank sediments of the earlier stages.
386 Deposition of Qf4 (T4) on Qf3 occurred. During this period the palaeo-river mouth was at
387 Armendariz in Barranco (figure 8, 9, 10[4th]) and was infilled with Qf3 sediments.

388

389 **5.4. 5th and 6th Stages (2.5 to 1 ka BP)**

390 Following stage 4, a marine transgression resulting in stage 5 reworking and
391 truncating stage 4 right bank sediments fine upwards and aggrading Qf5 (river terrace T3)

392 sediments to the north and south (figure 10[5th]). This was the beginning of a more arid climate
393 and an erosion glacis began to form towards the central and southern zones of the Fan.

394

395 During stage 6, approximately from 1.7 to 1 ka BP (Table 1), (Qf6, T1) (figure
396 10[6th]), an exceptional flood event caused channel abandonment and infilling. Presently Qf6
397 sediments are mostly exposed on the right bank of the Rimac River. The erosion surface of Qf6
398 in this area dips toward the North. This indicates that Qf6 (T2) may be over-bank flood deposits
399 of the Huaycoloro River a palaeo-right bank tributary of the Rimac River. This is also justified
400 by the nature of the deposits (boulders, gravel and fine sands silts and clay), found in the profile
401 lifted at ATE crossing that are different from those observed in the deposits further west and
402 by clast orientation indicating a difference in palaeo-currents.

403 Based on OSL dates, although a limited number of samples (Table 1), the period of
404 deposition in stages 5 and 6, was an average of approximately 0.8 ka per stage and stage 1 to
405 4 also had similar depositional periods with an average of approximately 0.7 to 1 ka. However,
406 sediment depositional area and depth for stages 1 (Qf1, T7) to 4 (Qf4, T4) are considerably
407 more extensive than those of stages 5 (Qf5, T3) to 6 (Qf6, T2). This indicates a decrease in
408 sediment transport, and deposition rate possibly associated with increasing aridity after about
409 2.5 ka BP resulting in a further reduction in deposition during stage 6 (T2) between 1.7 to 1 ka
410 BP.

411

412 **5.5. 7th, 8th, and 9th Stages (1ka⁻¹ BP to present)**

413 Migration of the Rimac River channel continued toward the north abandoning the
414 channel which remained active as the Magdalena River which is now a palaeo-channel in

415 central Lima (figure 10[7th]). Qf7 (T1) was deposited during this time to the north of the
416 Huatica River. Erosion continued in the southern area of the fan as the glacia continued to form.
417

418 During the 8th stage of evolution, Qf8 (river terrace T0) was deposited to the north of
419 the 7th stage deposits, Qf7 (figure 10[8th]). At present, small remnants of Qf7 can be recognized
420 in the areas near the Rimac River, in the northernmost part of the study area, along the
421 northwest side of San Cristobal Hill at San Juan de Lurigancho Ramiro Priale. Coalescences
422 of channels occurred as a result of large flood events and isolation of the palaeo-channel of the
423 Huatica River.

424
425 Stage 9 is the youngest fan surface and corresponds to more recent active deposition
426 Qf8 (T0) with an east-west orientation (figure 10[9th]). The channel occupies a continuous and
427 narrow channel presenting a surface dissected by the Rimac River. This surface can be
428 correlated with the lower terrace of the Rimac River in the form of a possible meander
429 abandoned around the El Agustino inselberg. Formation of a glacia towards the south of the
430 fan and accumulation of eolian deposits.

431

432 **5.6. 10th Stage – present period of urbanisation**

433 The current channel of the Rimac River incises Qf8 sediments, with its flow contained
434 by anthropogenic concrete blocks replacing the active river banks. The constructed canal now
435 drives sediments from their source in the uplands to a developing prograding delta offshore
436 with numerous distributary channels (figure 10[10th]). Presently Qf8 sediments are deposited
437 laterally by over-bank flow during large floods.

438

439 Urbanisation has restricted development of the glacia but an arid climate persists. Prior
440 to urbanisation the Lima piedmont was developing through erosion and aridity to the south of
441 the fan where its elevation is the highest (figure 8).

442 Urbanisation on T8 has resulted in districts of Lima being on high risk
443 geomorphological features. These are particularly susceptible to large fast moving floods
444 which have required the construction of dikes. Consequently, the depositional segment Qf4 is
445 restricted to a narrow area near the El Agustino inselberg (figure 10). Deposition in this area,
446 like much of the fan, is paralyzed by anthropogenic urbanisation. Floods are restricted to the
447 Qf8 and Qf7, affecting houses, buildings and infrastructure located on both sides of the Rimac
448 River (mainly the districts of Rimac, ATE and San Juan de Lurigancho). Debris and mud flows
449 from the Huaycoloro and Canto Grande ravines unleashed in 1987, 1998, 2016 and 2017
450 demonstrate the susceptibility of these districts to the devastation caused by high-risk
451 geomorphological features. During the summer of 1998, extreme events affected Lima. The
452 Huaycoloro mud and debris flows impacted streets and houses of downtown Lima (Dávila and
453 Valenzuela, 1998). An event occurred in 2017 influence by the occurrence of a "Coastal El
454 Niño" event (ENFEN, 2017). As a result of this event, 1 to 2 m of sediment was accumulated
455 near the confluence of the Huaycoloro River and the Rimac River, at Huachipa and the transit
456 of vehicles along the Ramiro Priale cart was temporarily interrupted. As a consequence, there
457 is the possibility of future flood events with potentially catastrophic consequences for those
458 sectors of Metropolitan Lima.

459

460 *Figure 9. is about here.*

461

462

463 *Figure 10. is about here*

464

465

466 **6. DISCUSSION**

467

468 The scarcity of data on recent tectonics has contributed to some misconceptions that
469 the Lima area is less tectonically active compared to other areas of Peru. However, the
470 probability that tectonics will continue to operate here, given the complex geological
471 environment in which it is located, has to be considered. Cobbing (1982) used regional
472 structural mapping to deduce that the coastal Lima segment central zone of the coastal
473 batholith, where the Rimac River fan is located, was formed by the collapse of faulted blocks,
474 in the framework of Andean tectonism. The location of lineaments, drainage course changes
475 and varying thickness of fan deposits exposed in the Costa Verde cliff correspond to this theory
476 and are considered evidence that the Fan is tilting towards the north of Lima (Le Roux et al.,
477 2000).

478 This hypothesis also corresponds to the proposals of Jordan et al. (2001), Hindle et al.
479 (2002) and Le Roux (2012) that the Andean uprising influenced the sedimentation patterns of
480 the Pacific slope of South America. San Lorenzo Island could be a remnant of wall of the large
481 fault running NNW-SSE between San Lorenzo and the Lima coast.

482

483 In the Late-Pleistocene there have been well-recognise cyclic postglacial marine
484 transgressions. The long (100ka), steady sea level regression to the most recent sea level low
485 of about -120 m (18 ka BP), would have allowed large scale erosion controlled by the palaeo-

486 topographical template resulting from earlier episodes rise and fall, deposition and erosion.
487 The sea level transgression following the 18 ka BP sea level low would have created a marine
488 embayment with a shoreline at the foot of the Andes with very little coastal plain (Wells, 1992).
489 Sea level reached a somewhat constant high stand between about 7- 6 ka BP and then began to
490 fall after 6 ka BP until 3.5 ka BP where it remained constant until about 0.5 ka BP when it
491 dropped about 1 m to its present height (Wells, 1988; Well, 1996; Wells and Noller, 1999)
492 although Dollfus (1966) considered the fall to be about 2 m. During and after the transgression
493 to 7ka BP deep river valleys/canyons that were incised during the sea level low started to in-
494 fill through fluvial deposition and sub-marine deltaic deposition on the sea floor of the marine
495 embayment until a subarial surface appeared on which the present alluvial fan has
496 developed. Due to the coriolis effect in the southern hemisphere (clockwise rotation) heavy sea
497 water would have entered the Rimac River estuary/embayment in the north forcing the lighter
498 fresh water of the Rimac River to the south (Valle-Levinson, 2011) resulting in the building of
499 the fan from the south to the north.

500 The ages of stage 1 and 2 of fan deposition in the south suggest that the fan was well
501 developed by about 6 ka BP. There was an increase in frequency of ENSO events between 3.2-
502 2.8ka BP which correlates with a reduction of anthropogenic cultural development (Sandweiss
503 et al., 2001). However there is controversy as to whether ENSO is responsible for aridity in the
504 coastal plane and Western Andes of Peru in the mid-Holocene (Carré et al., 2011). This
505 corresponds with a reduction in deposition rate in stage 5 indicating an increase in aridity.
506 There was possibly a further reduction in deposition rate and fluvial flow during stage 6 of the
507 fan that correlates with the mega-drought of 1.3 to 0.9 ka BP identified globally (Kremenetskia,
508 et al., 2004; Seager and Cook, 2007; Cook et al., 2016) and a subsequent reduction in erosion
509 and fluvial discharge. The fan is now paralised by urbanisation.

510

511 Regarding the age of the Rimac River Fan, Lisson's reference (1907) to Lujanian age:
512 late Pleistocene-early Holocene (Farina, et al., 2014) is based on a Pleistocene age molar of
513 *Equus curvidens* (Owen, 1895), discovered on the top of an alluvial terrace. However, the
514 location of the fossil corresponds to the basin of the Mantaro River (which drains away from
515 the Rimac River), consequently it is difficult to extrapolate this age data to the Rimac River
516 fan.

517

518 In this study, the ages of the samples in the fan (exposed in the Costa Verde) of
519 Holocene age varying from 1.0 to 5.2 ka BP. These data agree with the ages obtained in the
520 southern zone of the fan by Viveen et al. (2016; 0.1 to 3.9 ka). Although the data found in this
521 study confirm the speculations of Aleman et al. (2006) who point out that, because of their
522 sedimentological characteristics, it was possible that the materials on the Costa Verde cliffs
523 were younger strata of the fan. It must be considered that only the upper levels of the fan have
524 been sampled and dated. That is, from the exposed 100 m of the Costa Verde cliffs and in this
525 study, all dated samples were above 15.6 m. Therefore, the remaining 84 m may contain
526 sediments that are considerably older. In addition, at its thickest point the fan is up to 600 m
527 thick again indicating that the fan sediments could be much older than the 5.7 ka dated in this
528 study, the Pleistocene would also be represented therefore the regional evolution seems to
529 indicate that this fan was already functional in the Pleistocene.

530

531 Le Roux et al. (2000) identified four different stratigraphic units in the conglomerates
532 of the Costa Verde, based on the reconstruction of vertical movements of the Nazca ridge in
533 the area and deposition would be influenced by the activity of the Nazca dorsal asismica during

534 the Late Miocene-Pliocene (between 10 and 5.3 Ma), which has been interpreted as the cause
535 of the incision of the Rimac River in this sector. In addition, it is necessary to take into account
536 the data of Noble et al. (2009), who based on dating by radio nuclides Ar-Ar of volcanics
537 interbedded with alluvial deposits in Mala (south of Lima) obtained ages of 8 Ma BP and 7 Ma
538 BP (late Miocene). Based on this Le Roux (2000) proposed that the Fan of Lima could be of
539 the same age. But more in-depth dating is needed to confirm this hypothesis.

540

541 The Miocene-Pliocene tectonic activity may have formed the Rimac River resulting
542 in deposition of a palaeo-fan but superimposed on this are the Late-Pleistocene major sea level
543 lows and the subsequent postglacial marine transgressions of the Pleistocene and Holocene. In
544 each of major sea level fluctuations a pre-existing fan deposits would have been eroded and
545 incised during the sea level low period and then infilled with deltaic sediments followed by
546 alluvial fan sediments during the transgressions and subsequent high stands. The presence of
547 500 m of sediment (Arce, 1984) show that the accumulation had to be produced by a sequence
548 of processes as described above and that have been identified globally. The location of this
549 maximum thickness of sediment are in a palaeo-river valley that persisted during the cyclic
550 glacial lows and subsequent transgression of the Pleistocene-Holocene caused by palaeo-
551 climate changes identified by Sebrier and Macharé (1980) and Le Roux (2000). According to
552 Le Roux (2000) the facies of the Lima Fan indicate deposition in a high-energy environment
553 dominated by interlocking channels that rapidly changed position. Conglomerate interbed with
554 finer alluvial sand and mud deposits indicate a moving loci of deposition during sea level
555 highstand, regression, lowstand and transgression.

556

557 About the future evolution to Metropolitan Lima, Urbanisation has resulted in
558 districts of Lima being on high risk geomorphological features. Inhabitants near the current
559 river are particularly susceptible to large fast moving floods which have required the
560 construction of dikes for management. River migration and deposition on the fan is paralysed
561 by anthropogenic urbanisation.

562

563 Future floods may not have the same extent as pre-urbanisation floods but the impact
564 of them may be greater over a smaller area. The Rimac River is a young, sediment laden river
565 and it will need to continue to evolve with climate oscillations. If high sediment loads are
566 deposited in the anthropogenic channel reducing its drainage efficiency the Rimac may break
567 its banks to the north and south closer to its apex severely impacting communities in those areas.
568 Lima is also constructed on relatively unconsolidated sediments which amplify earthquake
569 damage through reducing wave velocity and increasing the amplitude (McPherson, 2006).
570 River evolution and tectonics cannot be controlled by anthropogenic amelioration.

571

572

573 **7. CONCLUSIONS**

574 Evidence of structural lineaments, drainage course changes, sediment thickness
575 variability in the Costa Verde cliffs, and the direction of movement of the Nazca and South
576 American Plates, as well as the position of the San Lorenzo Island created a topographic
577 template for cyclic deposition and erosion of the Lima Fan. The fan substrate tilts toward the
578 north which involved some hundreds of meters of mountain range uplift, and would have
579 caused the Rimac and Chillón Rivers to form two coalescing alluvial fans where the distal
580 sediments have been eroded by the marine action, creating the Costa Verde cliffs.

581

582 The sediment sequences exposed in the Costa Verde cliffs confirms the alluvial source
583 of the sediments comprising the fan. Generally the sequences are fining upwards and are
584 truncated. Also, there are some differences between the north and south parts of the fan as the
585 channel size and incision seem to indicate.

586

587 The results of the dating indicate that the materials of the exposed cliff comprising fan
588 sediments are of Late-Pleistocene/Holocene age deposited since the last glacial sea level low.
589 Previous geophysical studies found that underlying palaeo-valleys sediments were up to 600
590 m thick. Erosion and deposition of the fan sediments has been cyclic aligning with glacial sea
591 level lows. Valley incision and backfill was driven paleo-sea level shift. The outlet to the fan
592 from the Andes has remained constant so episodes of deposition have commenced from the
593 fan apex.

594

595 The erosion and deposition on the fan will continue to be cyclic with or without
596 anthropogenic intervention.

597

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610

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886 **TABLE**

887 *Table 1. Calculated age and OSL dating parameters*

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891 *Figure1. Location of the study area within the river Rimac basin.*

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895 *Europe and North America. Tm1 represents the set of holocene sea levels. Fluvial terraces (Tf)*
896 *and accumulations of sporadic debris flows (C) are numbered from most recent to oldest. Note*
897 *that the terrace Tf1 correlates with the moraines of the last glaciation g1 and that there is a similar*
898 *relationship between Tf2 and the moraines of the penultimate glaciation Sebrier and Macharé*
899 *(1980).*

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901 *exhumed intrusives. 2: Pacific Ocean. 3: Jurassic-Cretaceous Outcrops. 4: Quaternary sediments.*
902 *5: Clays. 6: Sands and gravel. Not to scale.*

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905 *Lurigancho, 3: El Agustino, 4: Santa Anita, 5: Costa Verde Beach Circuit, 6: Morro Solar, 7: San*
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909 *de Miraflores district.*

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918 *(Mixmade S.A.C., 2008). b) Club Regatas Chorrillos, with fining up grains. c) Conglomerate*
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922 *Figure10. Different stages of the development of the Lima alluvial fan. (1st and 2nd)*
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